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<b>(54) Title:</b> <b>THE REGULATION OF SYSTEMIC IMMUNE RESPONSES UTILIZING CYTOKINES AND ANTIGENS</b>  <b>(57) Abstract</b>  <p>A method of altering the specific, systemic immune response of an individual to a target antigen by the co-administration of a cytokine an adhesion or accessory molecule and the target antigen. The target antigen may be a tumor cell, a tumor cell antigen, an infectious agent or other foreign antigen, or other antigens to which an enhanced systemic immune response is desirable. Alternatively, the antigen may be a non-foreign antigen when a suppression of a systemic immune response is desired. The resulting systemic immune response is specific for the target antigen.</p>		

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**THE REGULATION OF SYSTEMIC IMMUNE  
RESPONSES UTILIZING CYTOKINES AND ANTIGENS**

CROSS REFERENCE TO RELATED APPLICATIONS

5 This is a continuation-in-part of U.S. Serial  
No. 07/771,194, filed October 4, 1991, the disclosure  
of which is incorporated herein by reference.

FIELD OF THE INVENTION

10 The present invention in all of its associated  
aspects relates to a method of altering an  
individual's immune response to a target antigen or  
antigens. More particularly, the present invention is  
concerned with co-administering a target antigen and  
at least one cytokine, in such a manner as to either  
increase or decrease the individual's immune  
15 response. Possible antigens include tumor cells,  
tumor cell antigens, infectious agents, foreign  
antigens, or non-foreign components. The invention  
also relates to methods and combinations for co-  
administering the antigens and the cytokines.

20 BACKGROUND OF THE INVENTION

The immune system plays a critical role in the  
pathogenesis of a wide range of important diseases  
and conditions, including infection, autoimmunity,  
allograft rejection and neoplasia. The shortcomings  
25 of the immune system in these disorders can be  
broadly considered as either the failure to develop a  
sufficiently potent response to a deleterious target  
or the inappropriate generation of a destructive  
response against a desirable target. Standard medical  
30 treatments for these diseases, including

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chemotherapy, surgery and radiation therapy, have clear limitations with regard to both efficiency and toxicity. While prevention of the disease or condition would be ideal, these approaches typically have met with little success. New strategies based on specific manipulation of the immune response are greatly needed.

#### DESCRIPTION OF THE PRIOR ART

For the convenience of the reader, the references referred to in the text are listed numerically in parentheses. These numbers correspond to the numerical references listed in the appended bibliography. By these references, they are hereby expressly incorporated by reference herein.

The use of autologous cancer cells as vaccines to augment anti-tumor immunity has been explored throughout this century (1). However, the immunogenicity of cancer cells is generally too weak to elicit a pronounced immune reaction sufficient to overcome the disease. Patient responses to these "raw" vaccines are generally have been only partial and relatively shortlived. Strategies to improve the efficacy of such vaccinations, including the use of non-specific immunostimulants such as BCG and *Corynebacterium parvum*, have resulted in little improvement.

One approach has been to increase the immunogenicity of tumor cells by treating the cells in different ways. For example, U.S. Patent No. 4,931,275 describes using as a vaccine either cells treated with pressure or cholesteryl hemisuccinate, or plasma membranes or membrane proteins from these cells. These methods attempt to enhance the exposure

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of surface antigens to render the antigens more immunogenic.

Another approach focuses on the interaction of cytokines and the immune system. Cytokines and combinations of cytokines have been shown to play an important role in the stimulation of the immune system. For example, U.S. Patent No. 5,098,702, describes using combinations of TNF, IL-2 and IFN- $\beta$  in synergistically effective amounts to combat existing tumors. U.S. Patent No. 5,078,996 describes the activation of macrophage nonspecific tumoricidal activity by injecting recombinant GM-CSF to treat patients with tumors.

A further expansion of this approach involves the use of genetically modified tumor cells to evaluate the effects of cytokines on tumorigenicity. Since the doses of cytokines necessary to effect tumor development are often systemically toxic, direct treatment of patients is frequently not feasible (see, for example, (2) and (3)). Therefore, other methods of cytokine delivery are being developed. Studies show that localized high concentrations of certain cytokines, delivered by genetically modified cells, lead to tumor regression (4, 5). Such studies show that the transduction of murine tumor cells with various cytokine genes can lead to the rejection of the genetically modified cells by syngeneic hosts. For example, growth of malignant mouse neuroblastoma cells injected into mice was strongly suppressed when the cells constitutively expressed  $\gamma$ -IFN at high levels (4,6). Injection of mammary adenocarcinoma tumor cells expressing IL-4, mixed with a variety of nontransfected tumor cells, inhibited or prevented tumor formation of all types (7). Similar results are

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seen for IL-2 (8,9), TNF- $\alpha$  (2,10,11), G-CSF (12), JE (13), and IL-7 (14).

Yet another approach involves the use of genetically modified tumor cells as vaccines.

5 Injection of tumor cells expressing IL-2 not only suppresses tumor formation initially but confers a short-lived systemic immunity as well, thus allowing the mice to reject a subsequent challenge of tumor  
10 tumor cells injected two weeks after the vaccine but not at four weeks. This immunity is tumor specific in that other tumors grew normally when injected two weeks after the initial vaccine injection (8). Similar results are seen with TNF- $\alpha$ , where animals  
15 that experience initial tumor rejection were challenged two weeks later and did not develop new tumors for at least 40 days (2). A somewhat longer systemic immunity is seen with IFN- $\gamma$ , with mice vaccinated with IFN- $\gamma$  producing tumor cells  
20 successfully rejected unmodified tumor cells injected 6 weeks later.

The efficacy of these vaccines to stimulate the immune system to attack a previously growing tumor, which is the more desirable trait of the vaccine, is  
25 not as clear. For IL-4, IFN- $\gamma$ , and IL-2, injection of cytokine-producing tumor cells did not affect the growth of non-modified tumor cells at a different site on the animal. It is undocumented whether the non-modified tumor cells were already established  
30 tumors, or cells that were simultaneously injected with the modified cytokine producing tumor cells (7, 4, 9 and 8, respectively). In a single recent case, tumor cells engineered to secrete IL-4 successfully mediated rejection of established renal cancer cells  
35 (15).

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While these studies may suggest the potential use of gene transfer as a means of augmenting anti-tumor immunity, there are many problems with these systems. First of all, treating already existing  
5 cancers is one of the primary goals of the research. While the vaccine aspect of these methods is very important, particularly in precancerous patients, for example, or in cases where certain individuals acquire defined cancers (such as AIDS patients), the  
10 ability to treat existing cancers systemically is particularly appealing. Another problem is the relatively short period of efficacy for the vaccine; generally these vaccines have been effective only for tumor challenges at less than 2 to 6 weeks after  
15 vaccination.

Also, the mechanism by which engineered cytokine expression promotes such immunity is currently unclear. To date, for instance, none of the gene transfer studies have compared the efficacy of such  
20 live vaccines to the immune response induced simply by the vaccination of hosts with non-transduced cells, either inactivated by  $\gamma$ -irradiation or other means, or by non-transduced cells that are surgically remove after implantation. This would appear to be  
25 quite important, since a large body of literature indicates that often such vaccination schemes alone can stimulate potent anti-tumor immunity (16, 17, 18, 19).

#### SUMMARY OF THE INVENTION

30 The present invention is based on the determination that tumor cells expressing certain cytokines and combinations of cytokines can confer long term specific systemic immunity to individuals

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receiving injections of such cells. From that finding, the present invention provides for the regulation, either in a stimulatory or suppressive way, of an individual's immune response to a useful antigen.

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The method of the present invention is useful for preventative purposes as well as therapeutic applications. That is, it is useful to protect an individual against development or progression of a tumor, bacterial or viral infection such as AIDS, rejection of transplanted tissue, or autoimmune condition. The present invention also will find utility in the reversal or suppression of an existing tumor, condition or disease, such as established tumors, bacterial or viral infections such as AIDS, transplanted tissue rejection, or autoimmune responses. In addition, the present invention finds utility in the treatment of chronic and life threatening infections, such as the secondary infections associated with AIDS, as well as other bacterial, fungal, viral, parasitic and protozoal infections. A particular advantage of the present invention is that the cytokines may be selected to optimize effects in the individual and thus maximize the desired result.

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As one aspect of the present invention, there is disclosed a method for regulating the immune response of an individual to a target antigen. The regulation is achieved by co-administering to the individual the target antigen and at least one cytokine, adhesion or accessory molecules or combinations thereof, in such a manner that there is a systemic immune response. The antigen and the cytokine, adhesion or accessory molecules or combinations thereof, are co-

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administered in a therapeutically effective amount, which results in the systemic immune response.

Another aspect of the present invention utilizes cells which express the target antigen and at least  
5 two cytokines, either naturally or as a result of genetic engineering, that can be administered to an individual whose immune response to the target antigen is to be regulated. For example, a tumor cell  
10 of the type against which an enhanced immune response is desired can be engineered to express the cytokines to be administered. The resulting genetically engineered tumor cell is used as a vaccine, to protect against future tumor development or as a  
15 delivery vehicle to result in the reversal of previously existing tumors.

Specific aspects of the present invention utilize tumor cells expressing the two cytokines GM-CSF and IL-2, and utilizes melanoma cells as the tumor cells to be modified.

20 Another aspect of the present invention relates to the use of modified tumor cells, expressing cytokines, which are irradiated or rendered proliferation incompetent prior to administration to an individual. These irradiated, modified cells are  
25 administered in therapeutically effective amounts. Administration of these cells results in the regulation, either as a stimulatory manner or a suppressive manner, of the individual's systemic immune response. Of particular utility are tumor  
30 cells expressing GM-CSF, and specifically melanoma cells expressing GM-CSF. Also of use in the present invention are irradiated tumor cells expressing GM-CSF IL-4, IL-6, CD2 and ICAM.

35 In other aspects, the invention relates to the use of modified tumor cells expressing cytokines for

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the reversal or suppression of pre-existing tumors. The tumor cells may express two cytokines, for example IL-2 and GM-CSF, or three cytokines, for example IL-2, GM-CSF, and TNF- $\alpha$ . The third cytokine  
5 may also be IL-4, CD2 or ICAM. The tumor cells may also be irradiated or rendered proliferation incompetent by other means prior to administration.

In another aspect, the present invention relates to the use of retroviral vectors to genetically  
10 engineer the cytokine-expressing tumor cells. The invention may utilize a single infection of a tumor cell by a retroviral vector encoding a cytokine, or it may utilize multiple infections by retroviral vectors encoding different cytokines.

15 A variety of retroviral vectors may be used. The MFG,  $\alpha$ -SGC, pLJ and pEm vectors are more fully disclosed in U.S. Serial No. 07/786,015, filed October 31, 1991 (PCT/US91/08121, filed October 31, 1991), incorporated herein by reference, and will  
20 find particular utility in the present invention.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 schematically represents the recombinant retroviral vectors useful in the present invention. Figure 1A shows a detailed representation  
25 of the MFG vector. Figure 1B shows the pLJ vector. Figure 1C shows the pEm vector. Figure 1D shows the  $\alpha$ -SGC vector.

#### Figure 2:

Figure 2A graphically represents the ability of  
30 B16 melanoma cells expressing IL-2 to protect against subsequent challenge with wild-type B16 melanoma cells at various times after vaccination.

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Figure 2B graphically represents the ability of B16 melanoma cells expressing IL-2 and a second cytokine (as indicated) after vaccination to protect against challenge with wild-type B16 melanoma cells. The symbol O represents the animals that succumbed to tumor challenge and the symbol • represents the animals protected from tumor challenge.

Figure 2C graphically represents the ability of B16 melanoma cells expressing IL-2 and GM-CSF and a third cytokine (as indicated) to protect against subsequent challenge with wild-type B16 melanoma cells.

Figure 3:

Figure 3A graphically represents the ability of irradiated B16 melanoma cells expressing GM-CSF to protect against challenge with wild-type B16 melanoma cells. The symbol O represents the animals that succumbed to tumor challenge and the symbol • represents the animals protected from tumor challenge.

Figure 3B graphically represents the ability of irradiated B16 melanoma cells expressing GM-CSF to protect against challenge with wild-type B16 melanoma cells either at varying doses or when mixed with non-transduced B16 melanoma cells. The symbol O represents the animals that succumbed to tumor challenge and the symbol • represents the animals protected from tumor challenge.

Figure 3C graphically represents the ability of irradiated B16 melanoma cells expressing GM-CSF to increase the immune response after inoculation with

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live non-transduced B16 melanoma cells (pre-established tumor) as indicated. The symbol O represents the animals that succumbed to tumor challenge and the symbol • represents the animals protected from tumor challenge.

5           Figure 4 graphically represents the ability of irradiated B16 melanoma cells expressing a cytokine (as indicated) to protect against subsequent challenge with wild-type B16 melanoma cells. The symbol O represents the animals that succumbed to tumor challenge and the  
10           symbol • represents the animals protected from tumor challenge.

          Figure 5 pictorially represents the histological analysis of the site of B16 melanoma vaccination. Figure 5A shows the site of irradiated GM-CSF transduced tumor  
15           vaccination. Figure 5B shows the site of irradiated non-transduced tumor vaccination site. Figure 5C shows the draining lymph node from the irradiated GM-CSF transduced tumor vaccination. Figure 5D shows the draining lymph  
20           node from the irradiated non-transduced tumor vaccination. Figure 5E shows the challenge site of a mouse vaccinated with irradiated GM-CSF transduced tumor cells. Figure 5F shows the challenge site of a mouse vaccinated with irradiated non-transduced tumor cells. Figure 5G shows the challenge site in a naive mouse.

25           Figure 6:

          Figure 6A graphically represents the contribution of lymphocyte subsets to systemic immunity generated by irradiated GM-CSF transduced B16 melanoma cells. The  
30           symbol O represents the animals that succumbed to tumor challenge and the symbol • represents the animals protected from tumor challenge.

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Figure 6B graphically represents the CD8 blockable CTL activity, determined by a 4 hour <sup>51</sup>Cr release assay on gamma-interferon treated B16 target cells at various effector:target ratios. Fourteen days after vaccination with either irradiated GM-CSF transduced or non-transduced B16 cells, splenocytes were harvested and stimulated in vitro for 5 days with gamma-interferon treated B16 cells.

Figure 7 graphically represents the immunogenicity of irradiated, non-transduced murine tumor cell lines used in previous cytokine transfection studies. The symbol O represents the animals that succumbed to tumor challenge and the symbol • represents the animals protected from tumor challenge.

Figure 8 graphically represents the ability of GM-CSF to enhance the systemic immunity of irradiated tumor cells of the types indicated relative to non-transduced irradiated tumor cells. The symbol O represents the animals that succumbed to tumor challenge and the symbol • represents the animals protected from tumor challenge.

Figure 9 graphically represents the effect of B16 melanoma cells expressing IL-2, GM-CSF and a third cytokine, as indicated, on a pre-existing tumor. The symbol O represents the animals that succumbed to tumor challenge and the symbol • represents the animals protected from tumor challenge.

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DEFINITIONS

By the term "regulating the immune response" or grammatical equivalents, herein is meant any alteration in any cell type involved in the immune response. The definition is meant to include an increase or decrease in the number of cells, an increase or decrease in the activity of the cells, or any other changes which can occur within the immune system. The cells may be, but are not limited to, T lymphocytes, B lymphocytes, natural killer (NK) cells, macrophages, eosinophils, mast cells, dendritic cells, or neutrophils. The definition encompasses both a stimulation or enhancement of the immune system to develop a sufficiently potent response to a deleterious target, as well as a suppression of the immune system to avoid a destructive response to a desirable target. In the case of stimulation of the immune system, the definition includes future protection against subsequent tumor challenge.

By the term "cytokine" or grammatical equivalents, herein is meant the general class of hormones of the cells of the immune system, both lymphokines and monokines, and others. The definition is meant to include, but is not limited to, those hormones that act locally and do not circulate in the blood, and which, when used in accord with the present invention, will result in an alteration of an individual's immune response. The cytokine can be, but is not limited to, IL-2, IL-4, IL-6, IL-7, GM-CSF,  $\gamma$ -IFN, TNF- $\alpha$ , CD2 or ICAM. Additionally, cytokines of other mammals with substantial homology to the human forms of IL-2, GM-CSF, TNF- $\alpha$ , and others, will be useful in the invention when

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demonstrated to exhibit similar activity on the immune system. Similarly, proteins that are substantially analogous to any particular cytokine, but have relatively minor changes of protein sequence, will also find use in the present invention. It is well known that some small alterations in protein sequence may be possible without disturbing the functional abilities of the protein molecule, and thus proteins can be made that function as cytokines in the present invention but differ slightly from current known sequences. Finally, the use of either the singular or plural form of the word "cytokine" in this application is not determinative and should not limit interpretation of the present invention and claims. In addition to the cytokines, adhesion or accessory molecules or combinations thereof, may be employed alone or in combination with the cytokines.

By the term "antigen from a tumor cell" or grammatical equivalents, herein is meant any protein, carbohydrate or other component capable of eliciting an immune response. The definition is meant to include, but is not limited to, using the whole tumor cell with all of its associated antigens as an antigen, as well as any component separated from the body of the cell, such as plasma membranes, proteins purified from the cell surface or membrane, or unique carbohydrate moieties associated with the cell surface. The definition also includes those antigens from the surface of the cell which require special treatment of the cells to access.

By the term "systemic immune response" or grammatical equivalents herein is meant an immune response which is not localized, but affects the

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individual as a whole, thus allowing specific subsequent responses to the same stimulus.

5 By the term "co-administering" or grammatical equivalents herein is meant a process whereby the target antigen and the selected cytokine or cytokines are encountered by the individual's immune system at essentially the same time. The components need not be administered by means of the same vehicle. If they are administered in two separate vehicles, they must be administered sufficiently closely, both in time and by route of administration, that they are encountered essentially simultaneously by the individual's immune system to achieve the desired specificity.

15 By the term "reversal of an established tumor" or grammatical equivalents herein is meant the suppression, regression, partial or complete disappearance of a pre-existing tumor. The definition is meant to include any diminution in the size, potency, growth rate, appearance or feel of a pre-existing tumor.

25 By the term "therapeutically effective amount" or grammatical equivalents herein refers to an amount of the preparation that is sufficient to regulate, either by stimulation or suppression, the systemic immune response of an individual. This amount may be different for different individuals, different tumor types and different cytokine preparations.

30 By the term "rejection" or grammatical equivalents herein is meant a systemic immune response that does not allow the establishment of new tumor growth.

35 By the term "challenge" or grammatical equivalents herein is meant a subsequent introduction of tumor cells to an individual. Thus a "challenge



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dose 5 days post vaccination" means that on the fifth day after vaccination with tumor cells expressing cytokines or irradiated tumor cells, or both, a dose of unmodified tumor cells was administered.

5 "Challenge tumor" means the tumor resulting from such challenge.

By the term "days to sacrifice" or grammatical equivalents herein is meant that period of time before mice were sacrificed. Generally, mice were  
10 sacrificed when challenge tumors reached 2 - 3 centimeters in longest diameter, or if severe ulceration or bleeding developed.

By the term "irradiated cells" or "inactivated cells" or grammatical equivalents herein is meant  
15 cells inactivated by rendering them proliferation incompetent by irradiation. This treatment results in cells which are unable to undergo mitosis, but still retain the capability to express proteins such as cytokines. Typically a minimum dose of about 3500  
20 rads is sufficient, although doses up to about 30,000 rads are acceptable. It is understood that irradiation is but one way to inactivate the cells, and that other methods of inactivation which result in cells incapable of cell division but that retain  
25 the ability to express cytokines are included in the present invention.

By the term "individual" or grammatical equivalents herein is meant any one individual mammal.

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#### DESCRIPTION OF DEPOSITS

On October 3, 1991, Applicants have deposited with the American Type Culture Collection, Rockville, Md. USA (ATCC) the plasmid MFG with the factor VIII

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insertion, described herein ATCC accession no. 68726, plasmid MFG with the tPA insertion, given ATCC accession no. 68727, the plasmid  $\alpha$ -SGC, described herein, with the factor VIII insertion, given ATCC  
5 ascension no. 68728, and plasmid  $\alpha$ -SGC with the tPA insertion, given ATCC accession no. 68729. On October 9, 1991, Applicants have deposited with the ATCC the plasmid MFG, described herein, given ATCC  
10 accession no. 68754, and plasmid  $\alpha$ -SGC, described herein and given ATCC accession no. 68755. These deposits were made under the provisions of the Budapest Treaty on the International Recognition of the Deposit of Microorganisms for the purposes of patent procedure and the Regulations thereunder  
15 (Budapest Treaty). This assures maintenance of a viable culture for 30 years from the date of deposit. The organisms will be made available by the ATCC under the terms of the Budapest Treaty, and subject to an agreement between Applicants and ATCC which  
20 assures unrestricted availability upon issuance of the pertinent U.S. patent. Availability of the deposited strains is not be construed as a license to practice the invention in contravention of the rights granted under the authority of any government in  
25 accordance with its patent laws.

#### DETAILED DESCRIPTION OF THE INVENTION

The present invention relates to a method of regulating the immune response of an individual to target antigens by administering a mixture of the  
30 target antigen or antigens and a cytokine or cytokines, in such a manner that the immune system of the individual is either stimulated or suppressed. In either case, the present invention provides a means of controlling the activity of the cytokines with the

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result that they provide an unusual protective or therapeutic effect.

In one embodiment of the present invention, the target antigen and one or more cytokines, adhesion or accessory molecules or combinations thereof, are administered to an individual in a chemical composition which provides for transfer or release of the cytokines, adhesion or accessory molecules or combinations thereof, in direct proximity or in combination with the target antigen. The two components need not be administered in the same vehicle. If they are administered in two separate vehicles, they must be administered sufficiently closely, both in time and by route of administration, that they are encountered essentially simultaneously by the individual's immune system. That is, the cytokines, adhesion or accessory molecules or combinations thereof, can be co-administered with the target antigen in any manner which provides transfer or delivery of the cytokine in the context of the target antigen in relation to which the immune response is to be regulated. For example, this can be accomplished by using slow or sustained release delivery systems, or direct injection. As a result of this co-administration, the nonspecific cytokine has the specific effect of amplifying or altering the specific immune response to the target antigen. The emphasis is on local interaction of the cytokine and the target antigen to mimic the physiological occurrence of simultaneous presentation of cytokine and antigen, to maximize efficacy and minimize toxicity.

In a preferred embodiment, cells which express the target antigen, such as tumor cells, are themselves genetically engineered to express the

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cytokines to be administered. The resulting modified tumor cells presents to the host immune system not only the antigen against which an immune response is sought, but also the cytokines, adhesion or accessory molecules or combinations thereof, whose expression determines the type and extent of the resulting immune response. Alternatively, cells that naturally express the target antigen and the cytokines can be used. The resulting cells, which express both the target antigen and the cytokines, can be used as a vaccine, to protect against future tumor development or as a delivery vehicle to result in the reversal of previously existing tumors. Conversely, a target antigen against which a decreased immune response is sought can be used in conjunction with the appropriate cytokine mixture.

In one embodiment, the individual's systemic immune response is increased or enhanced beyond that which would occur in the absence of the co-administered molecules. The systemic response is sufficient for the target antigen, such as the tumor cells, to be rejected by the individual.

In another embodiment, the individual's systemic immune response is reduced or suppressed, either partially or completely, to such an extent that the target antigen, such as antigens on transplanted cells or tissue, or cells of the individual incorrectly recognized as foreign, is not rejected by the individual, or is rejected to a lesser extent than would occur if the present method were not used.

In one embodiment of the present invention, the target antigen can be a tumor cell, a tumor cell antigen, an infectious agent or other foreign agent against which an enhanced immune response is desired. For example, any antigen implicated in the

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progression of a chronic and life threatening infection, such as the AIDS virus or a secondary infection associated with AIDS, or other bacterial, fungal, viral, parasitic and protozoal antigens, may  
5 be utilized in the present invention.

In a preferred embodiment, the target antigen is a tumor cell. A preferred embodiment utilizes melanoma cells, but other tumor cells such as, but not limited to, breast cancer cells, leukemia cells,  
10 cancerous polyps or preneoplastic lesions, or cells engineered to express an oncogene (e.g. ras, p53) can also be used. The modified cells may be live or irradiated cells, or cells inactivated in other ways. Furthermore, the tumor cells used as the target  
15 antigen and expressing cytokines can be either an unselected population of cells or specific clones of modified cells.

In a preferred embodiment, tumor cells are modified to express the cytokines IL-2 and GM-CSF.  
20 This combination is particularly desirable since it results in the long term systemic immune protection against subsequent challenge with wild type tumor cells.

In one embodiment of the present invention, tumor cells expressing the target antigen and the  
25 cytokines are irradiated before administration to an individual. The resulting nonviable cells may be used to regulate the immune response of the individual. The administration of such irradiated cytokine  
30 producing tumor cells has been shown to be useful in inhibiting the establishment of tumors of the same type, presumably through a vaccine-like mechanism. This procedure has particular importance since the introduction of live tumor-producing cancer cells to  
35 an individual is undesirable. This has additional

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significance since primary tumor explants likely contain non-neoplastic elements as well, irradiation of the tumor samples prior to vaccination will also prevent the possibility of autonomous growth of non-neoplastic cells induced by autocrine synthesis of their own growth factors.

In a preferred embodiment, the irradiated tumor cells used to regulate the immune response of an individual are capable of expressing GM-CSF, IL-4, IL-6, CD2 or ICAM, or a combination thereof.

In the most preferred embodiment, the irradiated tumor cells used to regulate the immune response of an individual are capable of expressing GM-CSF.

In one embodiment, the administration of the combination of target antigen and cytokines can be used to reverse established tumors. This may be accomplished by taking tumor cells from an individual with a pre-existing tumor and modifying these tumor cells to express cytokines. Upon subsequent re-introduction of the modified cells into the individual, a systemic immune response capable of reversing the pre-existing tumor is produced. Alternatively, tumor cells of the type of the pre-existing cancer may be obtained from other sources. The identification of the cytokines to be expressed will depend on the type of tumor, and other factors.

In a preferred embodiment of the present invention, the tumor cells express IL-2 and GM-CSF, and a third cytokine from the group TNF- $\alpha$ , IL-4, CD2 and ICAM.

In the most preferred embodiment, the tumor cells express IL-2, GM-CSF and/or TNF- $\alpha$ .

In another embodiment, the modified tumor cells used to reverse established tumors are irradiated prior to administration to the individual.

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In a preferred embodiment, the irradiated tumor cells used to reverse an established tumor are capable of expressing GM-CSF.

5 In one embodiment of the present invention, a vector such as the retroviral MFG vector herein described may be used to genetically alter the vaccinating cells. The MFG vector has particular utility since its use makes it feasible, for the first time, to rapidly screen a large number of  
10 potential immunomodulators for their effects on the generation of systemic immunity and to assess the activity of complex combinations of molecules. The MFG vector's combination of high titer and high gene expression obviates the need for selection of  
15 transduced cells among the bulk target population. This minimizes the time required for culturing primary tumor cells prior to vaccination, and maximizing the antigenic heterogeneity represented in the vaccinating inoculum.

20 Other retroviral vectors will find use in the present invention. For example, pLJ, pEm, and  $\alpha$ SGC may be used. pLJ, previously described in (20), is capable of expressing two types of genes: the gene of interest and a dominant selectable marker gene, such  
25 as the neo gene. The structure of pLJ is represented in Figure 1B. pEm is a simple vector where the gene of interest replaces the gag, pol and env coding sequences of the wild-type virus. The gene of interest is the only gene expressed. The structure of  
30 pEm is represented in Figure 1C.  $\alpha$ SGC utilizes transcriptional promoter sequences from the  $\alpha$ -globin gene to regulate expression of the gene of interest. The structure of  $\alpha$ -SCG is represented in Figure 1D.

35 It follows from the results herein that a variety of cytokines will find use in the present

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invention. For example, cytokines of other mammals with substantial homology to the human forms of IL-2, GM-CSF, TNF- $\alpha$ , and others, will be useful in the invention when demonstrated to exhibit similar activity on the immune system. Additionally, proteins that are substantially similar to any particular cytokine, but have relatively minor changes of protein sequence, will also find use in the present invention. It is well known that some small alterations in protein sequence may be possible without disturbing the functional abilities of the protein molecule, and thus proteins can be made that function as cytokines in the present invention but differ slightly from current known sequences.

The method of the present invention can include treatment with antigens and cytokines, adhesion or accessory molecules or combinations thereof, combined with other systemic therapy such as chemotherapy, radiation treatments and other biological response modifiers.

The method of the present invention can also be used to regulate an individual's systemic immune response to a variety of antigens. In one embodiment, the present invention may be used to treat chronic and life threatening conditions, such as infection with the AIDS virus, as well as the secondary infections associated with AIDS. Other embodiments embrace the treatment of other bacterial, fungal, viral, parasitic and protozoal infections.

In a preferred embodiment, treatment and prevention of HIV-related conditions is achieved. For example, in the case of the human immunodeficiency virus (HIV), Simian immunodeficiency virus (SIV) there are at least six known target antigens (21, 22, 23) against which an immune response can be enhanced



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using the present invention. An appropriate host cell expressing the HIV target antigen can be modified to express one or more cytokines and administered to an uninfected individual, to serve as a vaccine and  
5 elicit an enhanced immune response to confer the ability to resist subsequent infection by the AIDS virus. Alternatively, the antigen and the cytokines may be administered without a host cell. Furthermore, co-administration of the target antigen and cytokines  
10 can be given to an HIV positive individual, in order to limit the existing infection or reverse it.

Having described the particular methods employed in the present invention for the regulation of systemic immune responses to target antigens, and  
15 detailing how these methods may be utilized, and showing the successful regulation of systemic immune responses, the present disclosure is sufficient to enable one skilled in the art to use this knowledge to produce the end results by equivalent means using  
20 generally available techniques.

The following examples serve to more fully describe the manner of making and using the above-described invention, as well as to set forth the best modes contemplated for carrying out various aspects  
25 of the invention. It is understood that these examples in no way serve to limit the true scope of this invention, but rather are presented for illustrative purposes.

#### EXAMPLES

30

##### Example One

##### Generation of Recombinant retroviral genomes encoding cytokines

Construction of the retroviral vectors employs standard ligation and restriction techniques which are well understood in the art. A variety of

-24-

retroviral vectors containing a gene or genes encoding a cytokine of interest were used.

MFG. This vector is described in co-pending U.S.S.N. 07/607,252 entitled "Genetic Modification of Endothelial Cells", filed October 31, 1990, U.S.S.N. 07/786,015; "Retroviral Vectors Useful in Gene Therapy", filed October 31, 1991, and PCT/US91/08121, filed October 31, 1991, the teachings of which are incorporated herein by reference. They are also described below with particular reference with particular reference to incorporation and expression of genes encoding cytokines. Furthermore, several MFG vectors have been deposited with the ATCC as described above.

The MFG vector is similar to the pEm vector, described below and depicted in Figure 1, but contains 1038 base pairs of the gag sequence for MoMuLV, to increase the encapsidation of recombinant genomes in the packaging cells lines, and 350 base pairs derived from MOV-9 which contains the splice acceptor sequence and transcriptional start. An 18 base pair oligonucleotide containing NcoI and BamHI sites directly follows the MOV-9 sequence and allows for the convenient insertion of genes with compatible sites. In each case, the coding region of the gene was introduced into the backbone of the MFG vector at the NcoI site and BamHI site. In each case, the ATG initiator methionine codon was subcloned in frame into the NcoI site and little, if any, sequence beyond the stop codon was included, in order to avoid destabilizing the product and introducing cryptic sites. As a result, the ATG of the insert was present in the vector at the site at which the wild-type virus ATG occurs. Thus the splice was essentially the same as occurs in Moloney Murine Leukemia virus and

-25-

the virus worked very well. The MoMuLV LTR controls transcription and the resulting mRNA contains the authentic 5' untranslated region of the native gag transcript followed directly by the open reading frame of the inserted gene. In this vector, Moloney murine leukemia virus (Mo-MuLV) long terminal repeat sequences were used to generate both a full length viral RNA (for encapsidation into virus particles) and a subgenomic mRNA (analogous to the Mo-MuLV env mRNA) which is responsible for the expression of inserted sequences. The vector retained both sequences in the viral gag region shown to improve the encapsidation of viral RNA (24) and the normal 5' and 3' splice sites necessary for the generation of the env mRNA. All oligonucleotide junctions were sequence using the dideoxy termination method (25) and T7 DNA polymerase (Sequenase 2). The structure of MFG is represented in Figure 1A.

MFG vectors containing genes for the following proteins were constructed: murine IL-2, GM-CSF, IL-4, IL-5,  $\gamma$ -IFN, IL-6, ICAM, CD2, TNF- $\alpha$ , and IL1-RA (interleukin-1-receptor antagonist). In addition, human sequences encoding TNF- $\alpha$ , GM-CSF and IL-2 were constructed. (See Table 1). It is also possible to make MFG vectors containing a gene encoding one or more of the following: VCAM, ELAM, macrophage inflammatory protein, heat shock proteins (e.g. hsp60), M-CSF, G-CSF, IL-1, IL-3, IL-7, IL-10, TGF- $\beta$ , B7, MIP-2, MIP-1  $\alpha$  and MIP-1  $\beta$ .

Precise cDNA sequences subcloned into MFG were as follows: murine IL-2 (26) base pairs 49-564; murine IL-4 (27) base paris 56-479; murine IL-5 (28) base pairs 44-462; murine GM-CSF (29) base pairs 70-561; murine ICAM-1 (30) base pairs 30-1657; murine CD2 (31) base pairs 48-1079; murine IL-1 receptor

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antagonist (32) base pairs 16-563; human TNF- $\alpha$  (33) base pairs 86-788.

#### Example 2

##### Cytokine Assays

5 Cytokines secreted by the infected, unselected B16 populations were assayed 48 hours after plating  $1 \times 10^6$  cells in 10 cm dishes containing 10 mls. of medium. IL-1RA secretion was measured from infected, unselected 3T3 cells 24 hours after plating  $5 \times 10^6$  cells in a 10 cm dish containing 10 mls of medium. Cytokines were assayed as follows: murine IL-2 using CTLL cells (34) and ELISA (Collaborative Biomedical); murine IL-4 using CT4R cells (35) and ELISA (Endogen); murine IL-5 using an ELISA (Endogen); 15 murine IL-6 using T1165 cells (41) and ELISA (Endogen); murine GM-CSF using FDCP-1 cells (37) and ELISA (Endogen); murine  $\gamma$ -IFN using vesicular stomatitis viral inhibition (38) and ELISA (Genzyme); human TNF- $\alpha$  using L929 cells (39) and ELISA (R&D Systems); murine IL-1RA using  $^{125}\text{I}$ -IL1 $\beta$  binding inhibition (40). 20

Expression of murine ICAM-1 and CD2 in B16 target cells was determined with standard procedures (41) on an EPICS-C FACS analyzer (Coulter) using antibodies YN1/1.47 (42) and RM2/1 respectively. 25

#### Example 3

##### Production of B16 melanoma cells containing cytokine-encoding sequences

The resulting vector constructs were introduced by standard methods into the packaging cell lines known as Psi CRIP and Psi CRE (43). These cell lines have been shown to be useful to isolate clones that stably produce high titres of recombinant 30

-27-

retroviruses with amphotropic and ecotropic host ranges, respectively. CRIP packaging lines with amphotropic host range were generated by both transfection and electroporation with only small differences in efficiency. Calcium phosphate DNA coprecipitations were performed (44) using 20  $\mu$ g of vector and 1  $\mu$ g of pSV2NEO (45). Electroporations were performed after linearizing 40  $\mu$ g of vector and 8  $\mu$ g of pSV2NEO using the Gene Pulser electroporator (Bio-Rad). Conditions were 190 V and 960  $\mu$ F. Producers were placed into selection in G418 (GIBCO) at 1 mg/ml 36 hours after introduction of DNA. Both clones and populations of producers were generated.

Viral titres were determined by Southern blot analysis (46) following infection (47) of B16 or 3T3 cells in medium containing 8  $\mu$ g polybrene per ml. Ten  $\mu$ g of infected target cell DNA as well as control DNA spiked with appropriate copy number standards were digested with NheI (an LTR cutter), resolved by electrophoresis in 1% agarose gels, and analyzed by the method of Southern using standard procedures (48). Blots were probed with the appropriate sequences which had been labeled to high specific activity with [ $^{32}$ P]dCTP by the random primer method (49). Probes used were all full-length cDNAs except for murine IL-2 which was the SacI/RsaI fragment (base pairs 221-564). A titre of one copy per cell is approximately equivalent to  $1 \times 10^6$  retroviral particles per cell.

During the course of generating these products, we observed that cross-infection of packaging lines (CRE to CRIP or CRIP to CRE) with MFG vectors sometimes led to the mobilization of packaging function as determined by a sensitive his D mobilization assay (43). Such cross-infection should

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thus be avoided. No mobilization of packaging function with producers generated by direct transfection or electroporation has been observed.

Viral titres and expression levels are shown in the Table below.

Table 1: MFG Vector Constructs

	<u>Construct</u>	<u>Titre (Ampho)</u>	<u>Expression</u>
	MFG mu IL-2	1.0 copy	5000 U/ml
	MFG mu IL-4	0.25 copy	15 ng/ml
10	MFG mu IL-5	2.0 copy	250 ng/ml
	MFG mu IL-6	0.5 copy	400 ng/ml
	MFG mu GM-CSF	2.0 copy	300 ng/ml
	MFG mu $\gamma$ -IFN	0.1 copy	20 ng/ml
	MFG mu ICAM	0.5 copy	+FACS
15	MFG mu CD2	0.5 copy	+FACS
	MFG mu IL1RA	1.0 copy	30 ng/ml
	MFG hu IL-2	1.0 copy	100 ng/ml
	MFG hu GM-CSF	1.0 copy	500 ng/ml
	MFG hu TNF	0.5 copy	400 ng/ml

20

The B16 melanoma tumor model (50) was chosen for initial studies. Human melanoma has been shown to be sensitive to a variety of immunotherapies (51, 52).

To examine whether any of the gene products listed in

25

Table 1 influenced the growth of B16 cells in vitro or in vivo, cells were exposed to viral supernatants and the transduced cells were characterized for their efficiency of infection and secretion of gene

30

infection with each virus for the B16 melanoma cell line (a titer of one copy per cell corresponds to a titer of approximately  $10^6$  infectious particles) and

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the corresponding level of secreted gene product. With the exception of the MFG  $\gamma$ -IFN construction, which transmitted at approximately 0.1 copies/cell, most of the viruses were capable of transducing a majority of tumor cells.  $\gamma$ -IFN secreting cells grew more slowly than non-infected cells and adopted a flattened morphology relative to their wild type counterparts. In contrast, none of the other transduced cells displayed any altered in vitro growth characteristics. Both populations and specific clones of virus producing cells were used in these studies.

#### Example 4

##### Vaccinations

Tumor cells were trypsinized, washed once in medium containing serum, and then twice in Hanks Balanced Saline Solution (GIBCO) prior to injection. Trypan blue resistant cells were suspended to the appropriate concentrations and injected in a volume of 0.5 cc HBSS. Vaccinations were administered subcutaneously in the abdomen and tumor challenges were injected in the dorsal midline of the back after anesthetizing the mice with Metaphane (Pitman-Moore). Mice were examined at 2-3 day intervals and the time to development of palpable tumor recorded. Animals were sacrificed when tumors reached 2-3 cm in

-30-

diameter or if severe ulceration or bleeding developed. For antibody depletion experiments, mice were vaccinated in the right hind leg (volume 0.1 cc) and challenged in the left hind leg (volume 0.1 cc).

5 For establishment of pulmonary metastases, cells were injected in the tail vein in a volume of 0.2 cc. Animals used were 6-12 week C57B1/6 females (Jackson Labs) for B16, Lewis Lung, and WP-4 experiments, and 6-12 week Balb/c females (Jackson Labs) for CT-26,  
10 RENCA, and CMS-5 studies.

When irradiated vaccines were employed, tumor cells (after suspension in HBSS) received 3500 rads from a Cesium-137 source discharging 124 rads/min.

#### Example 5

15

#### Histology

Tissues for histologic examination were fixed in 10% neutral buffered formalin, processed to paraffin embedment, and stained with hematoxylin and eosin.

Tissues for immunoperoxidase staining were snap  
20 frozen in liquid N<sub>2</sub>, frozen sections were prepared, fixed in acetone for 10 minutes, and stored at -20°C. Frozen sections were stained with primary antibodies, followed by anti-IgG antibodies (species specific), and then the antigen-antibody complexes visualized  
25 with avidin-biotin-peroxidase complexes (Vectastain, Vector Labs) and diaminobenzidine. Primary antibodies



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used were 500A2 (hamster anti-CD3), GK 1.5 (rat anti-CD4), and GK2.43 (rat anti-CD8).

#### Example 6

##### 5                   Vaccination Studies with                   live transduced tumor cells

To directly assess the effect of the cytokine upon the tumorigenicity of B16 cells, either unmodified (wild type) B16 melanoma cells or the transduced modified cells were inoculated  
10           subcutaneously into C57B1/6 mice, their syngeneic host, and the mice were examined every few days for tumor formation. Typically, a vaccinating dose was  $5 \times 10^5$  infected B16 cells.

Surprisingly, only tumor cells secreting IL-2  
15           did not form tumors. All of the other nine cytokines tested resulted in tumor formation. Modest delays in tumor formation were associated with expression of IL-4, IL-6,  $\gamma$ -INF, and TNF- $\alpha$ . Several cytokines produced distinctive systemic syndromes, presumably  
20           as a consequence of the progressively increasing number of cells. GM-CSF transduced cells induced a fatal toxicity manifested by profound leukocytosis (polymorphonuclear leukocytes, monocytes, and eosinophils), hepatosplenomegaly, and pulmonary  
25           hemorrhage, IL-5 expressing cells showed a striking peripheral eosinophilia and splenomegaly. IL-6 expressing cells caused hepatosplenomegaly and death. TNF- $\alpha$  expressing cells induced wasting, shivering, and death.

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## Example 7

Systemic antitumor immunity generated  
by cytokine-transduced tumor cells

5       The rejection of IL-2 transduced cells made it  
possible to examine their potential to generate  
systemic immunity. Mice were first inoculated with  
IL-2 expressing B16 melanoma cells, and subsequently  
challenged over the course of one month, with  
unmodified B16 cells. Typically, both the initial and  
10       challenge dose were  $5 \times 10^5$  live cells, cells which  
had been harvested from culture dishes and then  
extensively washed. Cells were injected  
subcutaneously in a volume of about 0.5 cc (in Hanks  
Buffered Saline (HBS)). Groups of 5 mice were then  
15       challenged at a site different from that at which the  
vaccine was administered. A time course analysis was  
carried out by challenging groups of 5 animals every  
3-4 days, beginning 3 days after the vaccine was  
administered and continuing to challenge the animals  
20       until a month after the vaccination.

Results demonstrated minimal ability of IL-2 to  
induce a systemic protection, as measured by the  
ability of the unmodified tumor challenge to be  
rejected. In a typical experiment, most mice  
25       succumbed to the challenge tumor by 40 days after its  
inoculation. This is in direct contrast to the  
results of Fearon et al. (8), since all animals  
succumbed to this challenge, regardless of the time  
of challenge, with only an occasional delay in tumor  
30       formation. Figure 2A. Assessment of the effects of  
the infected B16 cells was done through ascertaining  
when natural death or humane killing of the mice  
occurred.

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## Example 8

Systemic antitumor immunity generated by  
multiple cytokine-transduced cells

5 As a result of the ability of cells transduced  
with IL-2 alone to be effectively rejected,  
combinations of cytokines were tested. For this  
analysis, populations of B16 cells expressing both  
IL-2 and a second gene product were generated through  
10 the superinfection of IL-2 transduced cells. Animals  
were vaccinated with the doubly infected cells and  
then challenged with non-transduced cells after 7 to  
14 days. Typically, both the initial and challenge  
doses were  $5 \times 10^5$  live cells, cells which had been  
harvested from culture dishes and then extensively  
15 washed. Cells were injected subcutaneously in a  
volume of roughly 0.5 cc of HBS.

B16 melanoma cells were modified to express IL-2  
plus one of the following: GM-CSF, IL-4,  $\gamma$ -IFN, ICAM,  
CD2, IL1-RA, IL-6, or TNF- $\alpha$ .

20 The results shown in Figure 2B, indicate that  
cells expressing both IL-2 and GM-CSF generate potent  
systemic immunity, with a majority of the mice  
surviving tumor challenge long term; for example,  
mice often survived as long as 120 days, at which  
25 time the mice were sacrificed. In total, 50 or 60  
mice have been vaccinated with this combination, and  
the result show that mice were protected from  
challenge doses ranging from  $10^5$  unmodified cells  
(essentially 70% of the animals were protected) to  $5$   
30  $\times 10^6$  unmodified cells (resulting in lesser, but still  
significant protection). This result is particularly  
surprising in light of the fact that tumor cells  
infected with GM-CSF alone grew progressively in the  
host, and in fact, the host succumbed to the toxicity  
35 of GM-CSF secreted systemically. A small degree of

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protection was observed with several other combinations. TNF- $\alpha$  and IL-4 had a very slight effect in combination with IL-2. IL-6 also showed slight activity when used in combination with IL-2. Under the conditions used,  $\gamma$ -IFN, ICAM, CD2 and IL1 receptor antagonists were inactive. Assessment of the effects of the infected B16 cells was done through ascertaining when natural death or humane killing of the mice occurred.

In a further set of experiments, the ability of a third cytokine (in addition to IL-2 and GM-CSF) to affect the ability of that combination to protect against wild type challenge was also assessed. B16 melanoma cells expressing IL-2, GM-CSF plus either  $\gamma$ -IFN, IL-4, or both, were made.

Preliminary results suggest that the addition of IL-4 to IL-2 with GM-CSF improves the efficacy of this approach. See Figure 2C. Perhaps more intriguing is that the addition of  $\gamma$ -IFN to IL-2 with GM-CSF compromises the ability of this combination to vaccinate. This data suggests that the various combinations of cytokines can both enhance as well as attenuate the magnitude of the immune response.

#### Example 9

##### Vaccination studies with irradiated cells

##### Immunogenicity of non-transduced, irradiated cells

The fact that cells expressing both IL-2 and GM-CSF, but not IL-2 alone, conferred systemic protection upon vaccinated hosts, and that cells secreting GM-CSF alone grew progressively, suggested the possibility that the IL-2 might be functioning

-35-

primarily to mediate rejection of the vaccinating cells.

5 An exceedingly important control was to assess the vaccination potential of non-transduced irradiated cells, since many previous studies have shown that irradiated tumor cells can possess significant vaccination activity. (16, 17, 18, 19). Irradiation and vaccination were done as outlined above. The data in Figure 3C indicates that non-  
10 transduced irradiated B16 cells elicited only minimal effects upon the growth of challenge cells at the vaccine and challenge doses tested.

However, a number of mouse tumor cell lines previously used to identify the anti-tumor activity  
15 of specific cytokines are inherently immunogenic, as revealed by studies involving vaccination with irradiated, non-transduced cells. Furthermore, irradiated cells alone are able to confer systemic immunity at levels comparable to those induced by  
20 live transduced cells. For these experiments, several tumor models which had been used previously by other investigators to identify cytokines possessing activity in tumor rejection or tumor challenge assays were used. These tumors included: (i) CT26, a colon  
25 carcinoma derived cell line (53), used in studies which identified the activity of IL-2 (8); (ii) CMS-5, a fibrosarcoma derived cell line (54), used to identify the activity of IL-2 (9) and  $\gamma$ -IFN (4); (iii) RENCA, a renal cell carcinoma derived cell line  
30 (55), used to identify the activity of IL-4 (15); and (iv) WP-4, a fibrosarcoma derived cell line (2), used to identify the activity of TNF- $\alpha$  (2). For these initial studies, the vaccine and challenge doses were comparable to those used previously in the cytokine  
35 gene transfer studies. The results of tumor

-36-

challenge assays in which mice vaccinated with irradiated cells were challenged with tumor cells 7-14 days later are shown in Fig. 7. Surprisingly, in each case, the irradiated cells possessed potent vaccination activity, comparable to that reported previously with live cells expressing the various cytokines tested above. In contrast, as shown earlier (Fig. 3C), irradiated B16 cells induced little if any systemic immunity. This has particular significance since it suggests that previous work may be misleading. Many of the tumor cells used in previous studies could be inherently immunogenic, but the lethality of the live tumor cells masks such characteristics. The expression of a cytokine may merely mediate the death of the live tumor cell, thus allowing the natural immunogenicity to be expressed. In contrast, the systemic immune responses of the present invention are due to a real interaction of the immune system and the simultaneous presentation of cytokine and antigen.

#### Vaccination with irradiated, transduced B16 cells

As shown above, irradiation of B16 cells expressing both IL-2 and GM-CSF did not abrogate either their secretion of cytokines in vitro or their vaccination activity in vivo (data not shown). Based on this result, irradiated B16 cells expressing GM-CSF alone were used to vaccinate mice, and subsequently challenged 7 to 14 days later. Cells were irradiated using 3500 rads. Typically the irradiated cells were dosed at  $5 \times 10^5$ , with subsequent challenge with the same dose of live cells. As shown in Figure 3A, such a vaccination led to potent anti-tumor immunity, with most of the mice

-37-

surviving their tumor challenge. When non-radiated cells were used as a vaccine, 1 out of 19 animals was ultimately protected; when irradiated cells were used, 16 out of 20 mice were protected. No mice demonstrated the toxicity observed in mice injected with live GM-CSF expressing cells and we were unable to detect circulating GM-CSF in the sera of vaccinated mice using an ELISA sensitive to 10 pg/ml. The systemic immunity was also shown to be long lasting, in that most of the mice vaccinated with irradiated cells which expressed GM-CSF and subsequently challenged with non-transduced cells two months after vaccination remained tumor free (data not shown).

The systemic immunity was also specific, in that GM-CSF expressing B16 cells did not protect mice from a challenge of Lewis Lung carcinoma cells, (56), another tumor of C57B1/6 origin, and GM-CSF expressing Lewis Lung carcinoma cells did not protect mice from a challenge of non-transduced B16 cells (data not shown).

The efficiency of the irradiated transduced cells as a vaccine was apparent over a wide range of GM-CSF concentrations, in that infected cells could be admixed with a one hundred fold excess of non-transduced cells, with little compromise in systemic immunity. Figure 3B. This result may reflect the exceedingly high levels of GM-CSF expression afforded by the MFG vector. In contrast, protection was highly sensitive to the total inoculum of vaccinating cells, as activity was severely compromised with the use of ten fold fewer vaccinating cells. Figure 3B.

In addition to conferring potent protection against challenge with non-transduced cells, irradiated B16 cells expressing GM-CSF were also more

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capable of mediating the rejection of pre-established tumors than were irradiated cells alone. Figure 3C. Similar results were also obtained in studies in which established metastases were generated through the intravenous injection of non-transduced cells (data not shown).

Surprisingly, the localized destruction of vaccinating cells does not always lead to systemic immunity, as evidenced by the B16 results. While live IL-2 expressing B16 cells were rejected by the syngeneic host, this vaccination did not generate protection against subsequent challenge of non-transduced B16 cells. Similarly, vaccination with non-transduced irradiated B16 cells also failed to induce systemic protection. The generation of systemic immunity in non- or poorly immunogenic tumor models may thus require qualitatively different mechanisms than those responsible for inducing this immunity in more immunogenic tumor models. Consistent with this hypothesis is that a screen of many gene products for anti-tumor activity in the B16 model, including all of the molecules identified in other systems as able to augment such immunity, showed that a previously unidentified cytokine, GM-CSF, is quite potent. Demonstration of the advantage of GM-CSF transduction even in tumor models that are somewhat immunogenic further suggests the relative potency of GM-CSF expression in comparison to other means of revealing tumor immunogenicity.

Finally, to determine whether irradiated cells expressing other gene products might also confer systemic immunity upon vaccinated hosts, a survey of each of the cell populations expressing different gene products (after irradiation) for vaccination activity was done. B16 cells expressing IL1RA, IL-2,



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IL-4, IL-5, IL-6, GM-CSF,  $\gamma$ -IFN, TNF, ICAM and CD2 were constructed as described previously. Vaccination and challenge doses and methods were done as described previously.

5           The results, shown in Figure 4, show that B16 cells expressing GM-CSF prior to irradiation appeared to be the most potent, with IL-4 and IL-6 modified cells showing slightly reduced activity.

10                    Vaccination with irradiated, GM-CSF expressing cells  
                         in other murine tumor models

Because the significant vaccination activity of the irradiated cells of the other murine tumor models alone precluded examination of the activity of GM-CSF expressing cells, either the challenge or vaccine dose of a number of the tumors examined above were manipulated, in the hopes of establishing conditions where the relative efficiency of non-transduced irradiated and irradiated cells expressing GM-CSF could be evaluated. These conditions were the same as the ones employed relating to Figure 3B. These conditions made possible the comparison of non-transduced irradiated and GM-CSF expressing irradiated cells shown in Figure 8. While the relative efficacy of GM-CSF expressing cells was somewhat variable from line to line, GM-CSF expressing cells in all cases were more efficacious than irradiated cells alone in eliciting systemic immunity.

As outlined above, included in this analysis was  
30 a study of the Lewis Lung carcinoma cell line (56), a  
tumor not previously employed in cytokine gene  
transfer studies. While a representative experiment  
illustrating the efficacy of GM-CSF transduction is

-40-

shown, the precise dose which demonstrates this effect has been somewhat variable.

5 However, the results show that Lewis Lung carcinoma cells which express GM-CSF prior to irradiation show a specific systemic immunity, in that mice were protected from a subsequent challenge with unmodified Lewis Lung carcinoma cells but not from challenge with unmodified B16 melanoma cells (data not shown).

10 The WP-4 cell line was not included in this experiment, since even large challenge doses were eventually rejected after vaccination with non-transduced cells (data not shown).

#### Example 10

15 Reversal of pre-existing tumors using  
cytokine-transduced tumor cells

The ability of B16 melanoma cells expressing mixtures of cytokines to affect growth of a pre-existing tumor was tested. All experiments used mice subcutaneously injected with unmodified B16 cells on day 1. By day 7, tumors were microscopically established. On day 7 B16 melanoma cells expressing various cytokines were injected at a different subcutaneous site. Typically, both the initial and challenge doses were  $5 \times 10^5$  live cells, cells which had been harvested from culture dishes and then washed extensively. Cells were injected subcutaneously in a volume of roughly 0.5 cc of HBS.

30 B16 melanoma cells were modified to express the following combinations of cytokines: IL-2 and GM-CSF; IL-2, GM-CSF and TNF- $\alpha$ ; IL-2, GM-CSF and IL-4; IL-2, GM-CSF and IL-6; IL-2, GM-CSF and ICAM; IL-2, GM-CSF and CD2; and IL-2, GM-CSF and IL1-RA. Results are shown in Figure 9.

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The experiments showed that if mice with pre-existing tumors were treated with modified B16 cells containing IL-2 and GM-CSF, there was a slight prolongation of the animal's life relative to controls. However, there were no cures. Mice treated with the IL-2, GM-CSF, TNF- $\alpha$  combination however, resulted in the cure of 3 out of 5 mice, as evidenced by survival in excess of 120 days. Two of the three mice survived a subsequent challenge of wild-type B16 cells. The addition of IL-4, ICAM or CD2, individually, to IL-2 and GM-CSF expressing cells resulted in a cure of 1 out of 5 mice. If treatment with the modified IL-2, GM-CSF, and TNF- $\alpha$  cells began 3 days after the establishment of the tumor, more animals were tumor free, and, in some groups, 10 out of 10 mice were cured.

Reversal of established tumors was also shown using irradiated cells, as outlined in Example 9. As shown in Figure 3C, irradiated B16 cells expressing GM-CSF were capable of mediating the rejection of pre-established tumors, while irradiated non-transduced cells were not, at least at the doses tested. Figure 3C shows that the extent of protection was dependent upon both the dose of challenge cells and the time at which the therapy was initiated. Similar results were also obtained in studies in which established metastases were generated through the intravenous injection of non-transduced cells (data not shown)

30

#### Example 11

##### Characteristics of the Immune Response Elicited by GM-CSF Expressing Tumor Cells

The immune response elicited by vaccination with irradiated B16 melanoma cells expressing GM-CSF was

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characterized in a number of ways. Histological examination of the site of injection of irradiated GM-CSF expressing cells five to seven days after injection revealed an extensive local influx of

5 immature, dividing monocytes, granulocytes (predominantly eosinophils), and activated lymphocytes (Figure 5, panel A). Within one week after injection, the draining lymph node had

10 dramatically enlarged, showing paracortical hyperplasia and some germinal center formation (Figure 5, panel C). In contrast, in mice vaccinated with non-transduced irradiated cells, only a mild

15 influx of inflammatory cells was seen (Figure 5, panel B), which consisted primarily of lymphocytes, and a comparatively smaller enlargement of the draining lymph node was observed (Figure 5, panel D).

At the challenge site of mice vaccinated with irradiated GM-CSF expressing cells (Figure 5, panel

20 E), a large number of eosinophils, monocytes, and lymphocytes were evident after five days, while only patches of lymphocytes were seen at the challenge site in mice vaccinated with irradiated cells (Figure

25 5, panel F). Virtually no responding cells were observed in naive animals challenged with live B16 cells (Figure 5, panel G).

To determine which cells were critical for systemic immunity, a series of mice were depleted of CD4+, CD8+, or natural killer cells by the

administration of antibodies in vivo and subsequent

30 vaccinated with irradiated GM-CSF expressing cells. Specifically, beginning one week prior to vaccination, mice were depleted of either CD4+ cells with Mab GK1.5 CD8+ cells with Mab 2.43, or NK cells with PK 136. The antibodies were partially purified

35 by ammonium sulfate precipitation:delipidated ascites

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was mixed 1:1 with saturated ammonium sulfate and the precipitate was spun out, dried, and resuspended in a volume of dH<sub>2</sub>O equivalent to the original volume of ascites. The antibody was dialyzed extensively against PB5 and passed through a 0.45 $\mu$ m filter. Antibody titre was tested by staimin 1x10<sup>6</sup> splenocytes with serially diluted antibody and determining saturation by FACSCAN analysis. All preparations were titred beyond 1:2000. Antibodies were injected at a dose of 0.15 ml i.u. and 0.1 ml i.p. on day 1 of depletion, and 0.1 ml i.p. every week thereafter. Depletion of lymphocyte subsets was assessed on the day of vaccination (early depletions) on the day of wild type tumor challenge (early and late depletions), and weekly thereafter. Flow cytometric analysis of lymph node cells and splenocytes stained with 2.43 or GK1.5 followed by fluorescein isothiocyanate labeled goat antibody to rat DgG or with Pk1 36 followed by fluorescein isothiocyanate-labeled goat antibody to mouse DgG revealed that the depleted subset represented <0.5% of the total lymphocytes, with normal levels of the other subsets present. Both CD4+ and CD8+ T cells were required for effective vaccination, since depletion of either T cell subset prior to vaccination abrogated the development of systemic immunity, whereas depletion of NK cells had little or no effect (Figure 6, panel A). In addition, when various T cell subsets were depleted subsequent to immunization but prior to challenge, both CD4+ and CD8+ T cells were again found to be important (data not shown), thus indicating a role for both subsets at the effector as well as the priming phase of the response.

Finally, the generation of tumor specific CD8+ cytotoxic T cells in mice vaccinated with either

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non-transduced irradiated cells or irradiated cells expressing GM-CSF was examined. In this series of experiments 14 days after vaccination with either irradiated GM-CSF transduced or non-transduced B16 cells splenocytes were harvested and stimulated in vitro for 5 days with gamma-interferon treated B16 cells. CD8 blockable CTL activity was determined in a 4 hour  $^{51}\text{Cr}$  release assay on gamma-interferon treated B16 targets at various effector:target ratios. Splenocytes from naive C57B1/6 and Balb/c mice served as controls. The data are shown in Figure 6B, where  $\square$  represents GM-CSF,  $\bullet$  represents Allo,  $\diamond$  represents naive and  $\blacktriangle$  represents B16 irradiation. While mice vaccinated with non-transduced cells possessed little detectable CD8+ blockable killing, the level of CD8 blockable killing was significantly enhanced in sample of cells isolated from mice vaccinated with GM-CSF expressing cells.

Previous studies had suggested that cytokine expressing tumor cells might enhance the generation of systemic anti-tumor immunity through the ability of cytokine expression to bypass T cell help (8). This model was based on the finding that IL-2 expressing tumor cells elicited systemic immunity in both the CT-26 colon carcinoma and B16 melanoma model, and that the tumor immunity depended only upon CD8+ cells. However, the inability to generate systemic immunity with IL-2 expressing B16 cells and the dependence of the GM-CSF effect upon CD4+ cells suggests that GM-CSF expressing cells may elicit systemic immunity in a fundamentally different way.

Several characteristics of the immune response induced by vaccination with irradiated cells expressing GM-CSF suggest that the underlying

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mechanism may involve enhanced presentation of tumor-specific antigens by host antigen presenting cells. While the extent of systemic immunity induced by GM-CSF expressing cells was not appreciably affected by the level of GM-CSF secretion examined (Figure 3B), the absolute number of vaccinating tumor cells was critical. This finding suggests that the absolute amount of tumor specific antigen available for vaccination may normally be limiting. Also, the injection of GM-CSF expressing cells led to a dramatic influx of monocytic cells, known to be potent antigen presenting cells (57), with lesser numbers of other cell types. Furthermore, both CD4+ and CD8+ cells were necessary for the immune response. Since the B16 cells used in this invention do not express detectable amounts of class II MHC molecules, even after  $\gamma$ -IFN treatment (unpublished results), it is likely that host antigen presenting cells, rather than the tumor cells themselves, were responsible for the priming of CD4+ T cells. This data is consistent with the hypothesis that local production of GM-CSF modifies the presentation of tumor antigens such that collaboration between CD4+ helper and CD8+ cytotoxic killer T cells is established or improved in vivo.

## Example 12

Use of other retroviral vectors

pLJ: The characteristics of this vector have been described in (20), and in U.S.S.N. 07/786,015, filed October 31, 1991, and in PCT/US91/08121, filed October 31, 1991. This vector is capable of expressing two types of genes: the gene of interest and dominant selectable marker gene, such as the neo gene. pLJ vectors containing murine IL-2, GM-CSF,

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IL-4, IL-5,  $\gamma$ -IFN, IL-6, ICAM, CD2 TNF- $\alpha$ , and IL1-RA (interleukin-1-receptor antagonist) are made. In addition, human sequences encoding TNF- $\alpha$ , GM-CSF and IL-2 are constructed. The gene of interest is cloned in direct orientation into a BamHI/SmaI/SalI cloning site just distal to the 5' LTR. The neo gene is placed distal to an internal promoter (from SV40) which is farther 3' than is the cloning site (i.e. it is located 3' of the cloning site). Transcription from pLJ is initiated at two sites: the 5' LTR, which is responsible for the gene of interest, and the internal SV40 promoter, which is responsible for expression of the selectable marker gene. The structure pLJ is represented in Figure 1B.

pEm: In this simple vector, described in U.S.S.N. 07/786,015, filed October 31, 1991, and in PCT/US91/08121, filed October 31, 1991, the entire coding sequence for gag, pol, and env of the wild type virus is replaced with the gene of interest, which is the only gene expressed. The components of the pEm vector are described below. The 5' flanking sequence, 5' LTR and 400 base pairs upstream from the pEm vector are also from pZIP; sequence (up to the BamHI site) are from pZIP; flanking sequence and LTR are also from pZIP; however, the ClaI site 150 base pairs upstream from the 3' LTR has been ligated with synthetic BamHI linkers and forms the other half of the BamHI cloning site present in the vector. The HindIII/EcoRI fragment of pBR322 forms the plasmid backbone. This vector is derived from sequences cloned from a strain of Moloney Murine Leukemia virus.

pEm vectors containing murine IL-2, GM-CSF, IL-4, IL-5,  $\gamma$ -IFN, IL-6, ICAM, CD2 TNF- $\alpha$ , and IL1-RA (interleukin-1-receptor antagonist) are made. In



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addition, human sequences encoding TNF- $\alpha$ , GM-CSF and IL-2 are constructed.

$\alpha$ SGC: The  $\alpha$ -SGC vector, described in U.S.S.N. 07/786,015, filed October 31, 1991, and in PCT/US91/08121, filed October 31, 1991, utilizes transcriptional promoter sequences from the  $\alpha$ -globin gene to regulate expression of the cytokine-encoding gene. The 600 base pair fragment containing the promoter element additionally contains the sequences for the transcriptional initiation and 5' untranslated region of the authentic  $\alpha$ -globin mRNA. A 360 base pair fragment which includes the transcriptional enhancer from cytomegalovirus precedes the  $\alpha$ -globin promoter and is used to enhance transcription from this element. Additionally, the MMLV enhancer is deleted from the 3' LTR. This deletion is transferred to the 5' LTR upon infection and essentially inactivate the transcriptional activating activity of the element. The structure of  $\alpha$ -SGC is represented in Figure 1D.

$\alpha$ -SGC vectors containing murine IL-2, GM-CSF, IL-4, IL-5,  $\gamma$ -IFN, IL-6, ICAM, CD2 TNF- $\alpha$ , and IL1-RA (interleukin-1-receptor antagonist) are made. In addition, human sequences encoding TNF- $\alpha$ , GM-CSF and IL-2 are constructed.

### Example 13

#### Co-administration of viral antigens and cytokines

An appropriate vaccinating cell (which could include but not be restricted to, fibroblasts, keratinocytes, endothelial cells, monocytes, dendritic cells, B-cells or T-cells) would be infected with a retrovirus expressing an individual

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or combination of HIV antigens (including, but not limited to gag, env, pol, rev, nef, tat, and vif) and a single or combination of cytokines (such as GM-CSF), irradiated or otherwise rendered proliferation incompetent, and administered to a patient.

## Example 14

Suppression of immune responses

An appropriate vaccinating cell (see example 13) as well as particular cells of an allograft (i.e. renal tubular epithelial cells in the kidney) would be infected with a virus expressing a single or combination of cytokines (such as gamma-interferon), irradiated or otherwise rendered proliferation incompetent, and administered to a patient to reduce the immune response against the allograft.

Additionally, the present invention can be used to suppress autoimmune diseases. The autoimmune response against a host target antigen could also be modified in a similar way. For example, the present invention could be used to treat multiple sclerosis. An appropriate cell (see example 13) would be infected with a retrovirus expressing myelin basic protein as well as a cytokine (such as gamma-interferon) to reduce the immune response directed against myelin basic protein in the patient.

## Example 15

Enhancement of immune response

It is also possible to use the present invention to enhance the immune response to a variety of infectious diseases. For example, the present

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invention could be utilized in the treatment of malaria. An appropriate vaccinating cell (see example 13) would be infected with a retrovirus expressing the gene form circumsporozoite surface protein and a cytokine (such as GM-CSF), irradiated or otherwise rendered proliferation incompetent, and then administered to a patient to induce protection of a therapeutic response against malaria.

It will be understood by those skilled in the art that various modifications of the present invention as described in the foregoing examples may be employed without departing from the scope of the invention. Many variations and modifications thereof will be apparent to those skilled in the art and can be made without departing from the spirit and scope of the invention herein described.

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## EQUIVALENTS

- 20 Those skilled in the art will recognize, or be able to ascertain using no more than routine experimentation, many equivalents to the specific embodiments of the invention described specifically herein. such equivalents are intended to be
- 25 encompassed in the scope of the following claims.

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CLAIMS

1. A method of regulating the immune response of a mammal to an antigen, comprising co-administering to the individual:

- 5           a) an antigen; and  
           b) at least one cytokine an adhesion or accessory molecule which alters said mammal's immune response to said antigen;  
in a therapeutically effective amount and in such a  
10 manner that a regulation of said mammal's systemic immune response to that antigen is achieved.

2. A method of regulating the immune response of a mammal to a tumor cell type, comprising co-administering to the mammal:

- 15           a) an antigen, said antigen being the tumor cell itself or an antigen from said tumor cell; and  
           b) at least one cytokine which alters the mammal's immune response to said antigen;  
in a therapeutically effective amount and in such a  
20 manner that a regulation of said mammal's systemic immune response to that tumor cell type is achieved.

3. A method of regulating the immune response of a mammal to an antigen, comprising the administration to said mammal a cell modified in such  
25 a manner that it expresses a combination of at least

- a) a cytokine; and  
           b) the antigen;  
wherein said modified cells are administered in a  
therapeutically effective amount and in such a manner  
30 that a regulation of said mammal's systemic immune response to that antigen is achieved.

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4. A method of regulating the immune response of a mammal to a tumor cell type, comprising the administration to said mammal a tumor cell modified in such a manner that it expresses a combination of  
5 at least

- a) a first cytokine; and
- b) a second cytokine;

wherein said modified tumor cells are administered in a therapeutically effective amount and in such a  
10 manner that a regulation of said mammal's systemic immune response to that tumor cell type is achieved.

5. The method of Claim 4, wherein said first cytokine is interleukin-2, and said second cytokine is granulocyte-macrophage colony stimulating factor.

15 6. The method of Claim 5, wherein said tumor cell type is a melanoma cell type.

7. A method of regulating the immune response of a mammal to a tumor cell type comprising:

- a) providing tumor cells of said tumor cell  
20 type, said cells  
expressing at least one cytokine; and
- b) irradiating said cells; and
- c) administering said cells to said mammal;

wherein said cells are administered in a  
25 therapeutically effective amount and in such a manner that a regulation of said mammal's systemic immune response to that tumor cell type is achieved.

8. The method of Claim 7 wherein said  
30 irradiated tumor cells express granulocyte-macrophage colony stimulating factor.



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9. The method of Claim 7 wherein said irradiated modified tumor cells express a cytokine selected from the group consisting of: IL-4, IL-6, CD2 and ICAM.

5           10. A method of reversing an established tumor of a certain type of a mammal, comprising co-administering to said mammal:

- a) tumor cells of said tumor type; and
- b) at least one cytokine;

10           in a therapeutically effective amount and in such a manner that a regulation of said mammal's systemic immune response to that tumor cell type is achieved.

15           11. A method of reversing an established tumor of a certain type of a mammal, comprising the administration to said mammal a tumor cell modified in such a manner that it expresses a combination of at least

- a) a first cytokine; and
- b) a second cytokine;

20           wherein said modified tumor cells are administered in a therapeutically effective amount and in such a manner that a regulation of said mammal's systemic immune response, said regulated immune response resulting in the reversal of the established tumor.

25           12. The method of Claim 11 wherein said modified tumor cells additionally express a third cytokine.

30           13. The method of Claim 11 wherein said first cytokine is IL-2, said second cytokine is GM-CSF, and said third cytokine is TNF- $\alpha$ .

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14. The method of Claim 11 wherein said first cytokine is IL-2, said second cytokine is GM-CSF, and said third cytokine is selected from the group consisting of TNF- $\alpha$ , IL-4, CD2 and ICAM.

5           15. A method of reversing an established tumor of a certain type of a mammal, comprising:

a) providing tumor cells of said tumor type, said cells expressing at least one cytokine; and

b) irradiating said cells; and

10           c) administering said cells to said mammal;

wherein said cells are administered in a therapeutically effective amount and in such a manner that a regulation of said mammal's systemic immune response to that tumor cell type is achieved.

15           16. The modified cells of claim 3 having genetic material encoding a cytokine incorporated therein, the cells being capable of in vivo expression of the cytokine encoded by the genetic material, wherein said genetic material comprises the  
20           genetic material of a retroviral vector selected from the group consisting of MFG,  $\alpha$ -SCG, pLJ or pEm as well as the genetic material encoding a cytokine.

25           17. The modified cells of claim 4 having genetic material encoding a cytokine incorporated therein, the cells being capable of in vivo expression of the cytokine encoded by the genetic material, wherein said genetic material comprises the  
30           genetic material of a retroviral vector selected from the group consisting of MFG,  $\alpha$ -SCG, pLJ or pEm as well as the genetic material encoding a cytokine.

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18. The modified cells of claim 7 having genetic material encoding a cytokine incorporated therein, the cells being capable of in vivo expression of the cytokine encoded by the genetic material, wherein said genetic material comprises the genetic material of a retroviral vector selected from the group consisting of MFG,  $\alpha$ -SCG, pLJ or pEm as well as the genetic material encoding a cytokine.

19. The modified cells of claim 11 having genetic material encoding a cytokine incorporated therein, the cells being capable of in vivo expression of the cytokine encoded by the genetic material, wherein said genetic material comprises the genetic material of a retroviral vector selected from the group consisting of MFG,  $\alpha$ -SCG, pLJ or pEm as well as the genetic material encoding a cytokine.

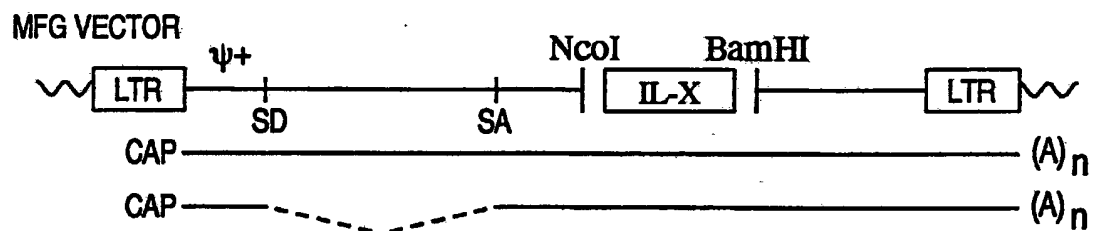


FIG. 1A

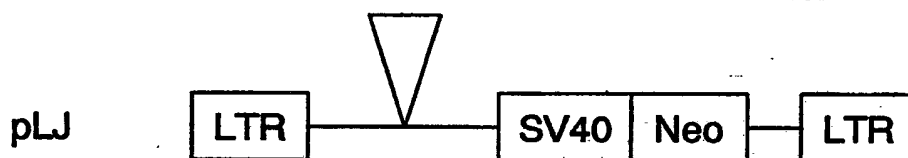


FIG. 1B

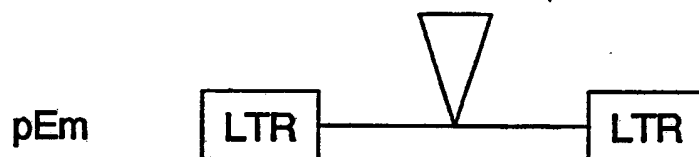


FIG. 1C

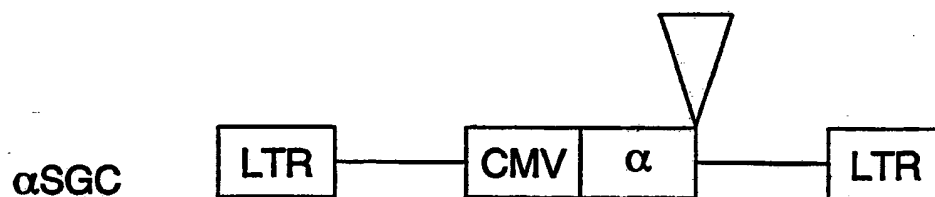


FIG. 1D

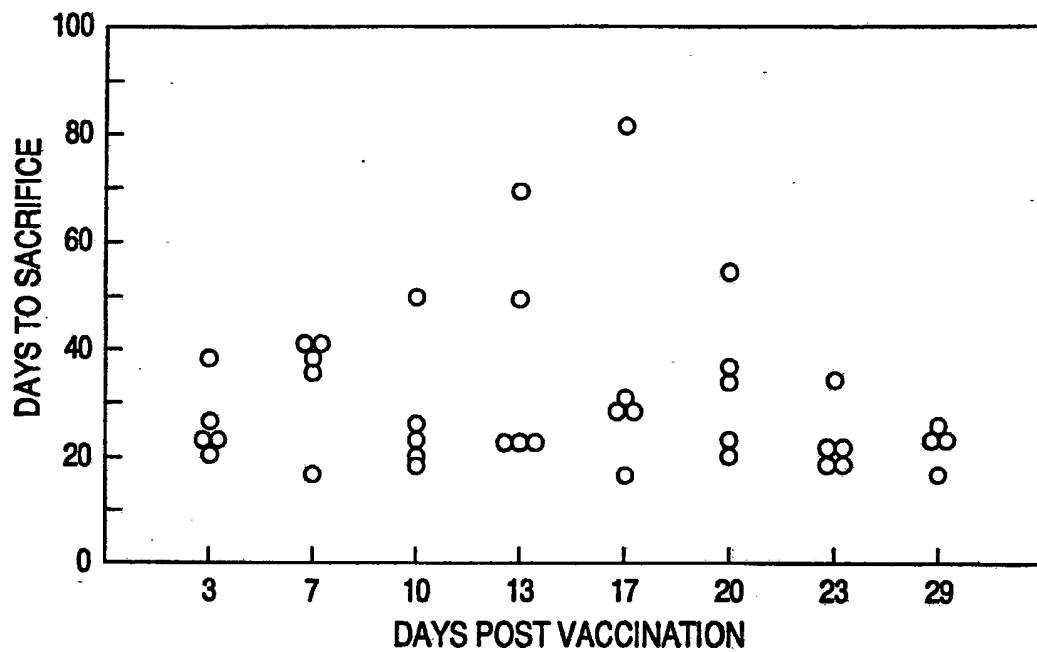


FIG. 2A

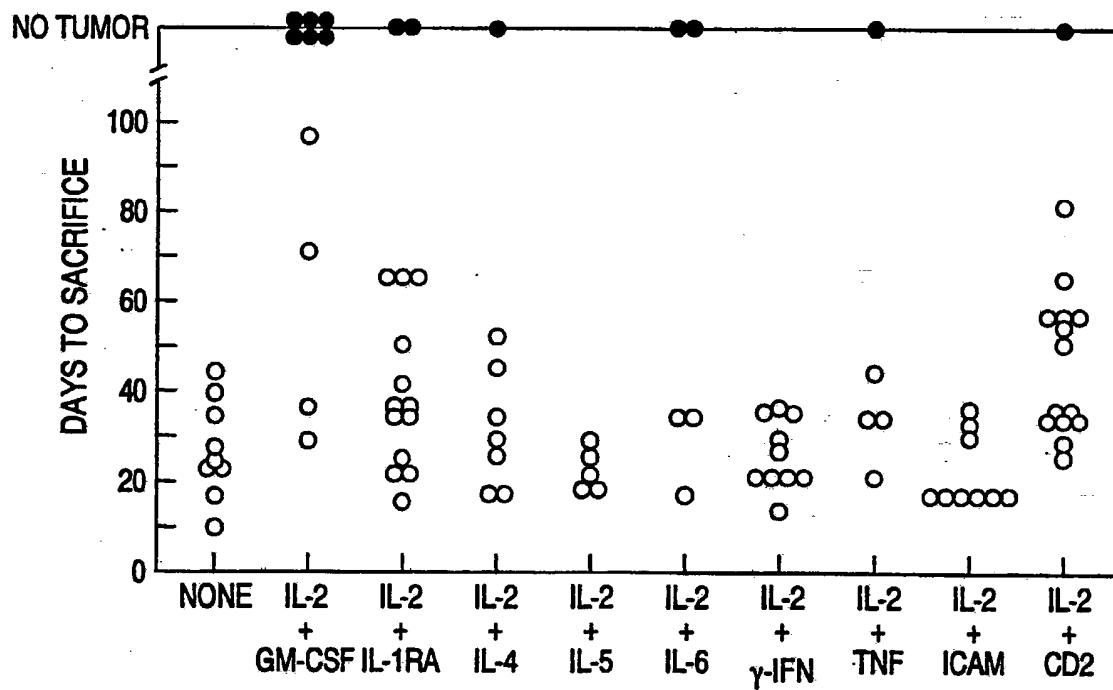
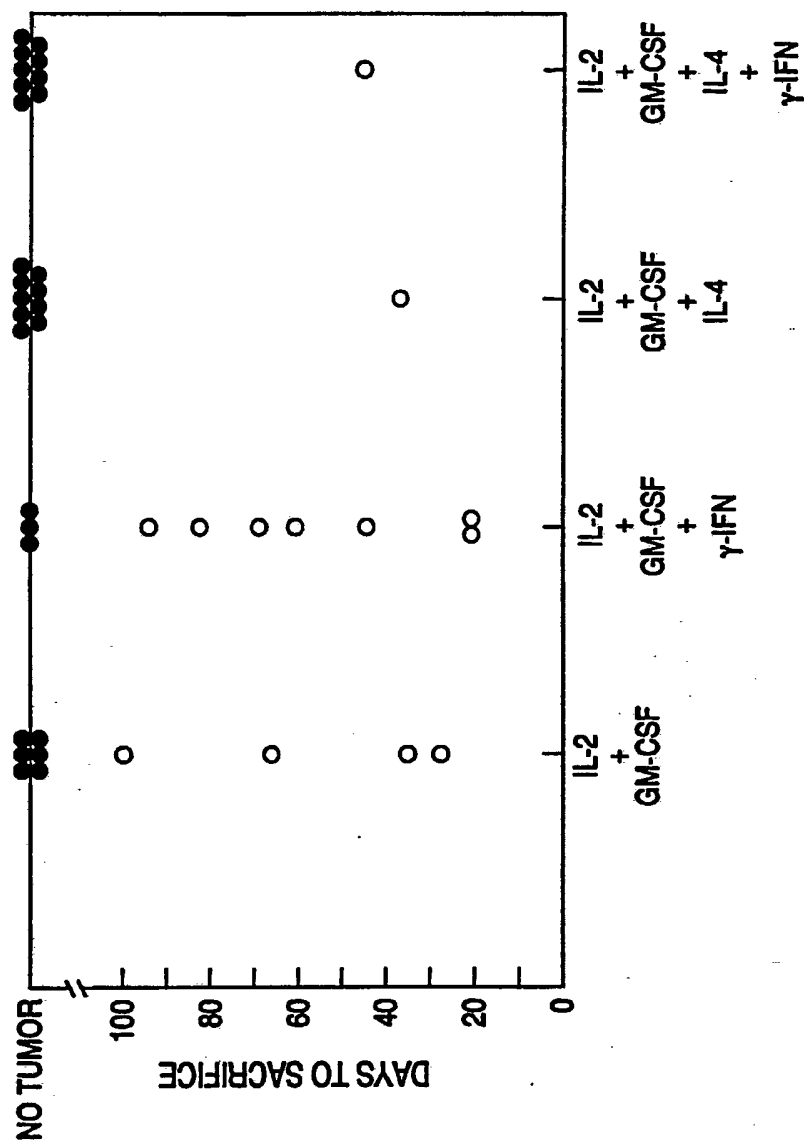


FIG. 2B



**FIG. 2C**

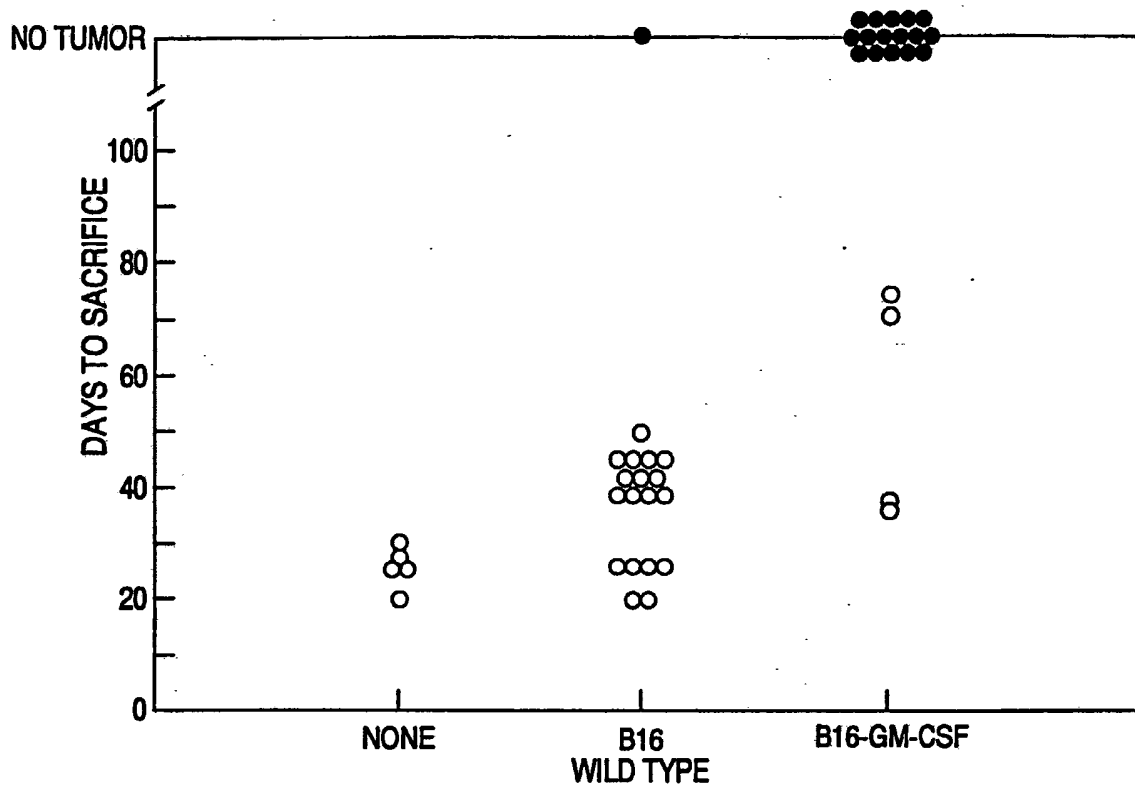


FIG. 3A

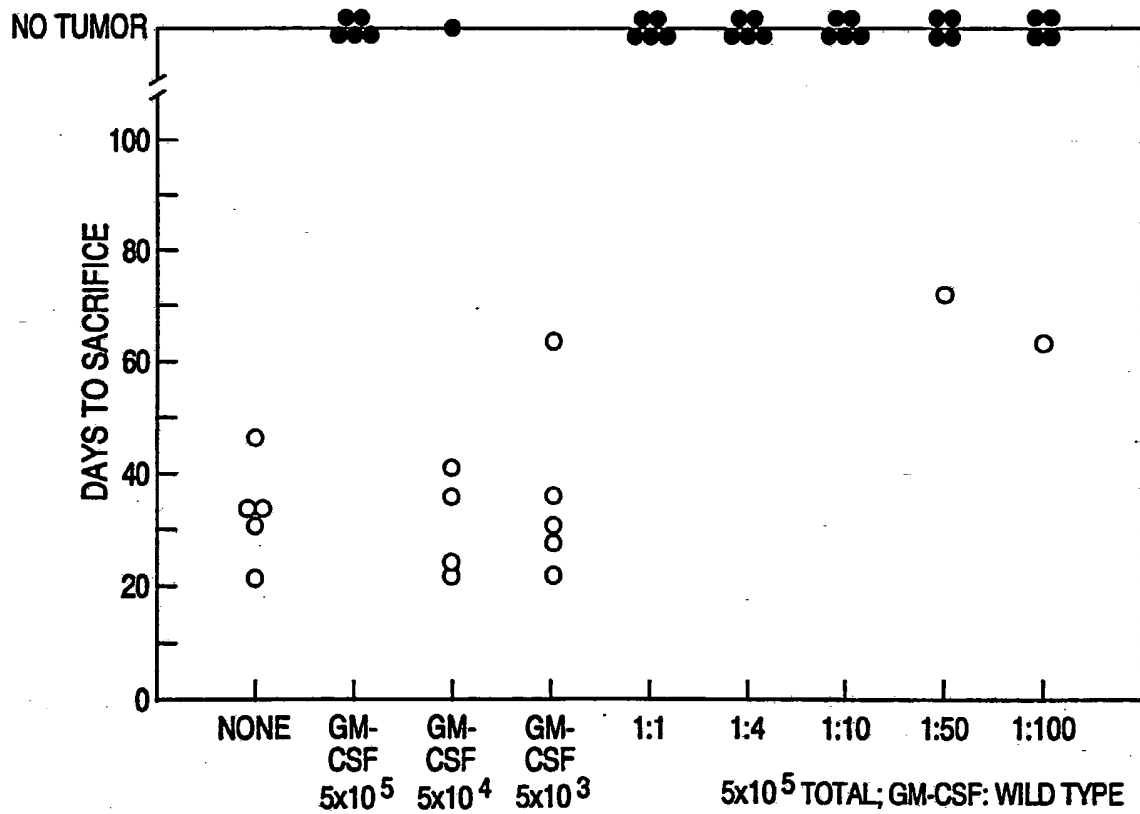
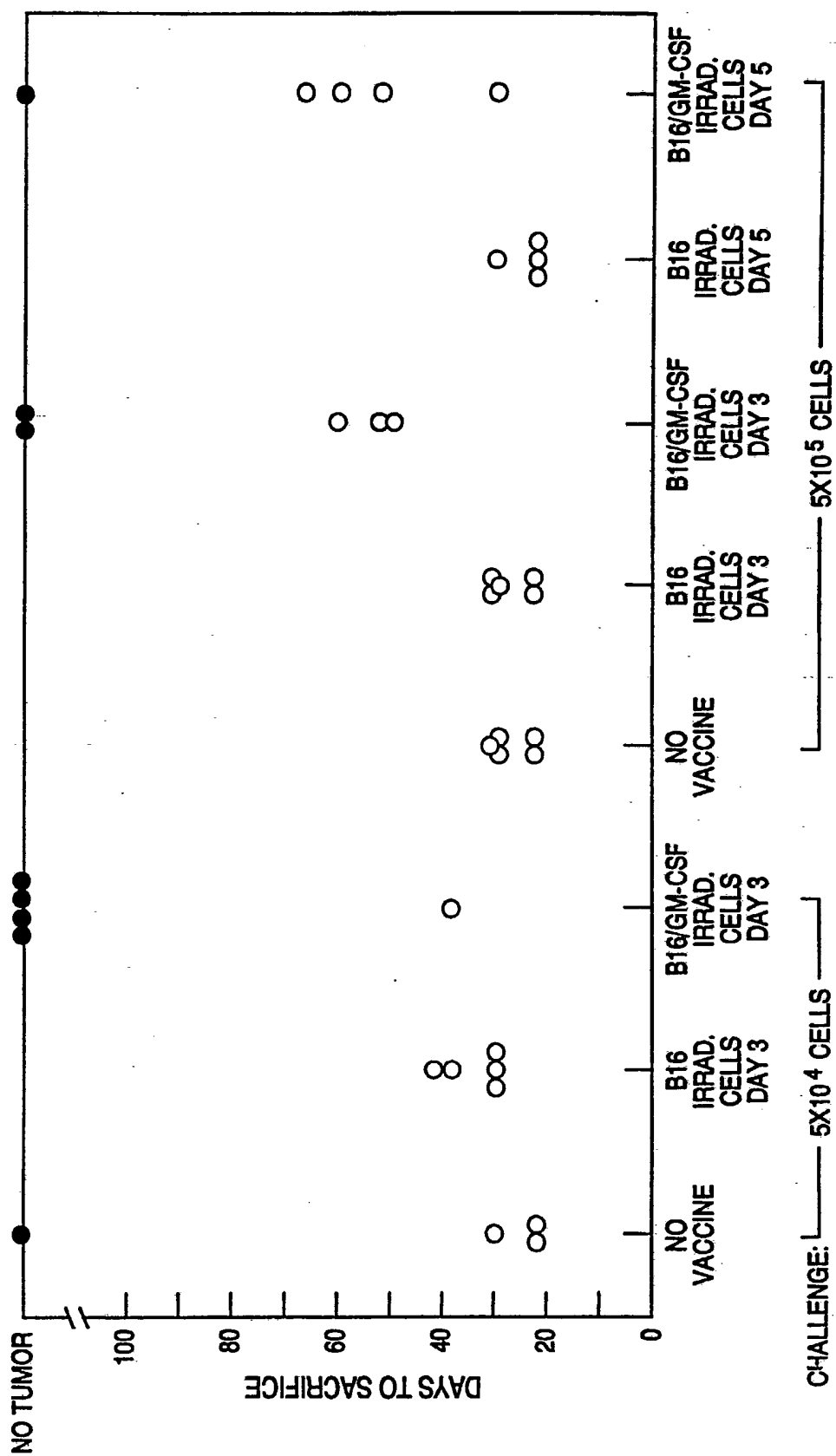
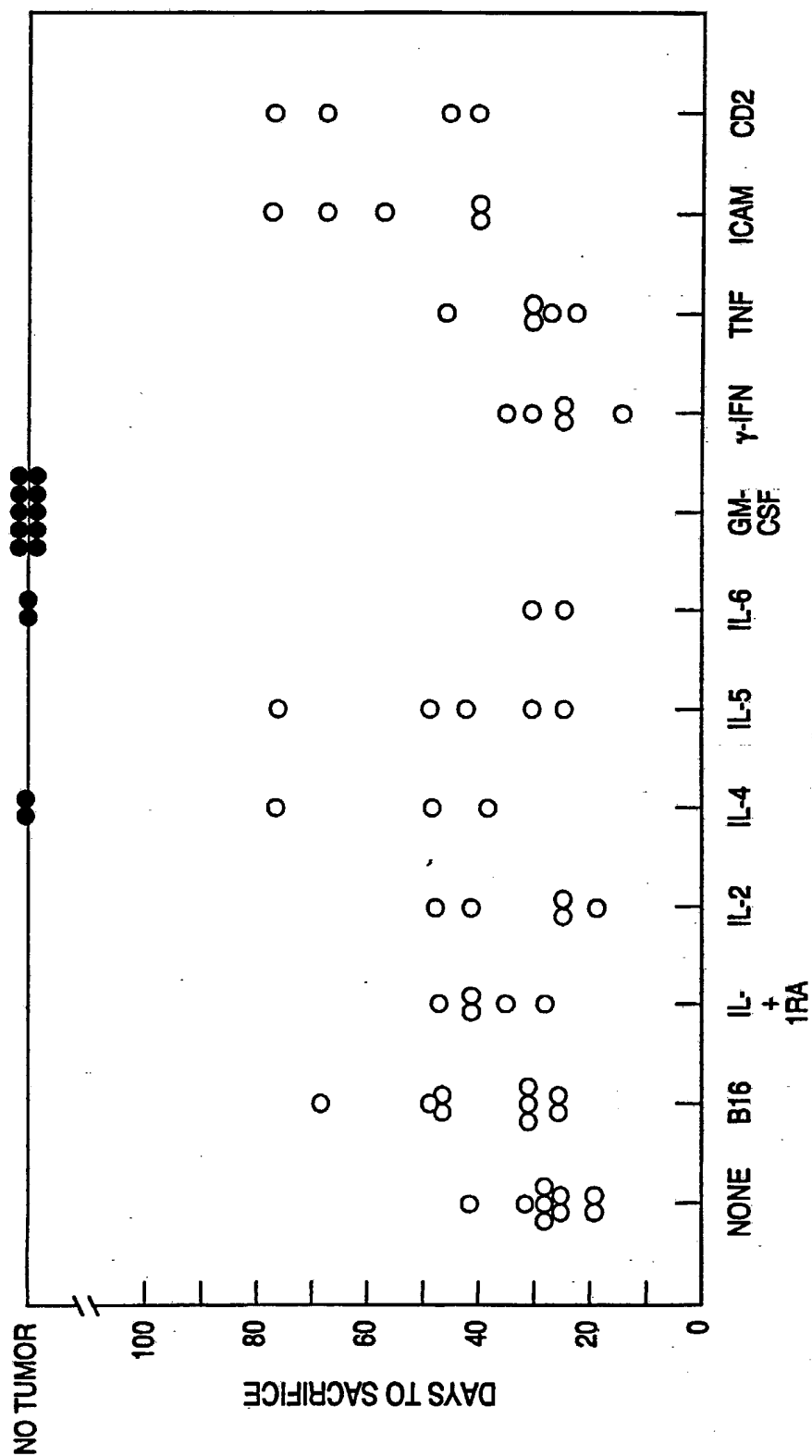


FIG. 3B



**FIG. 3C**





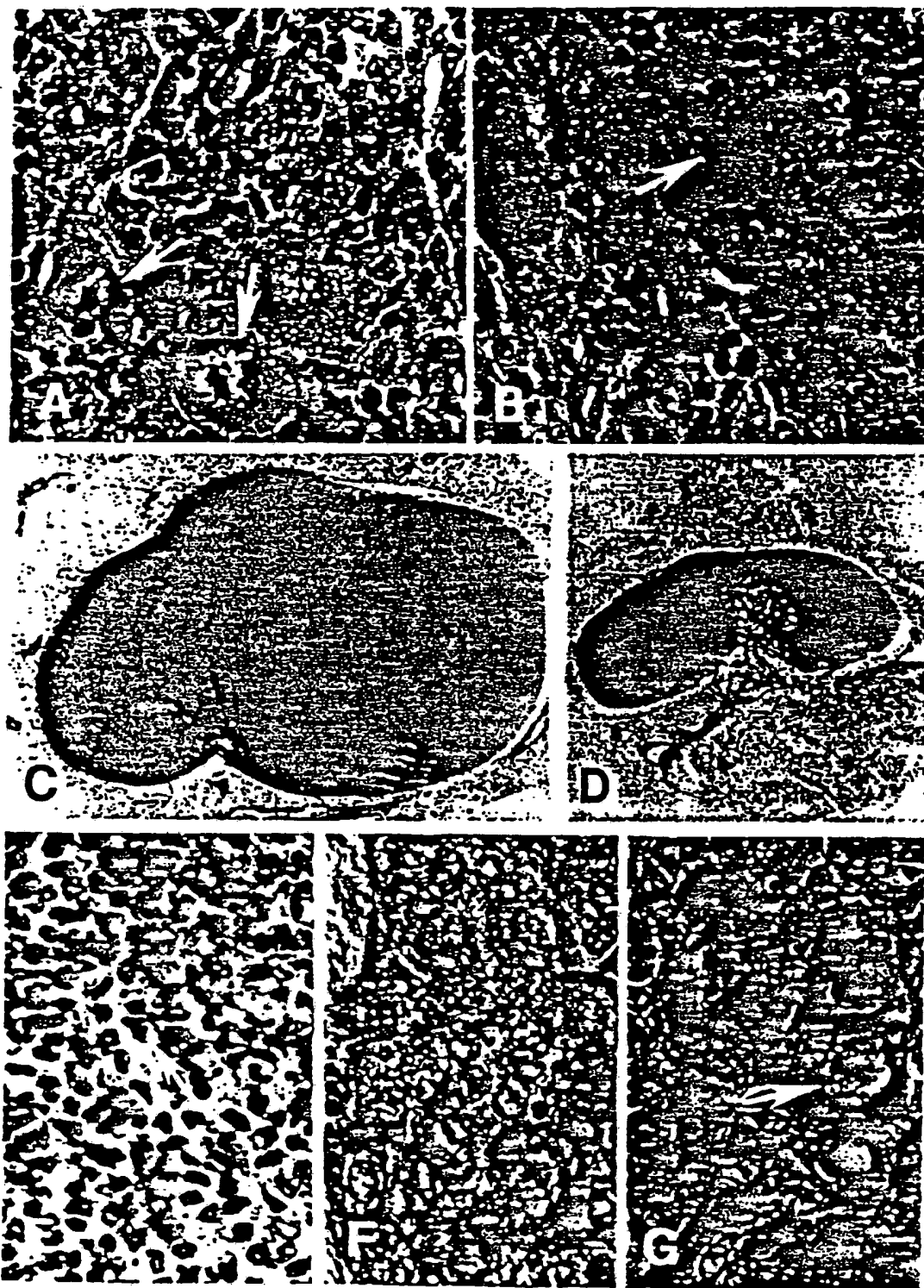
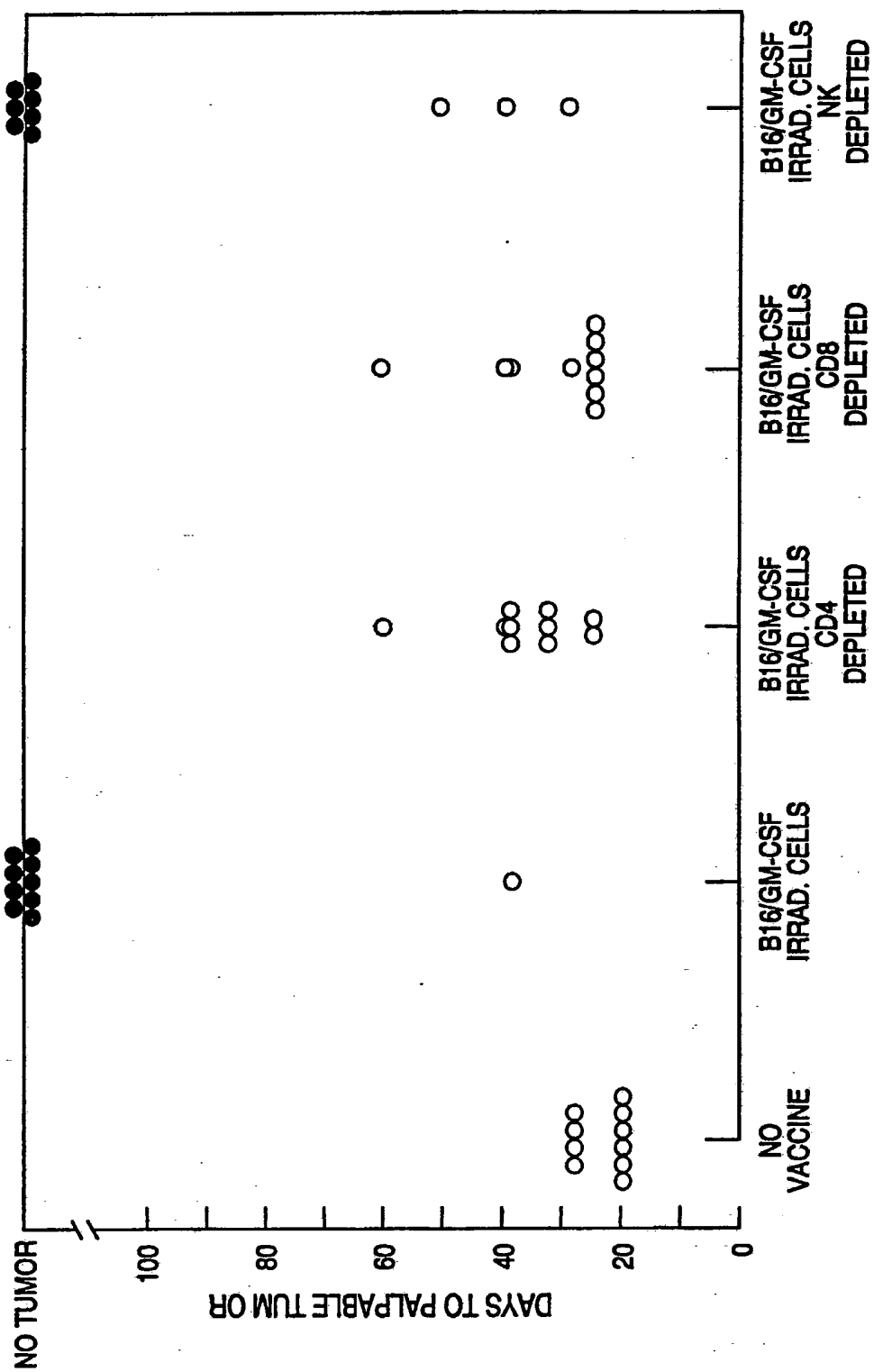


FIG. 5



**FIG. 6A**

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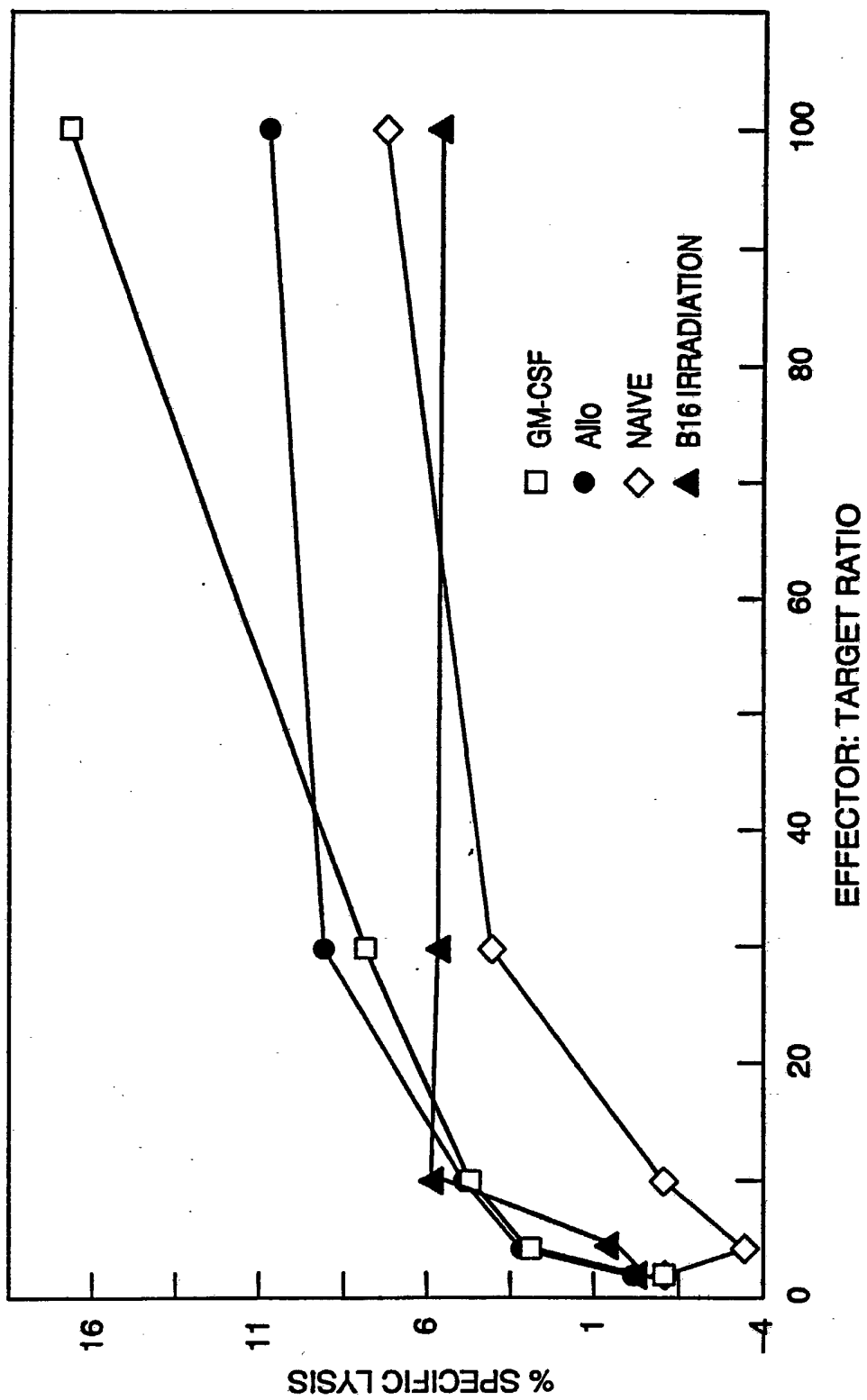


FIG. 6B

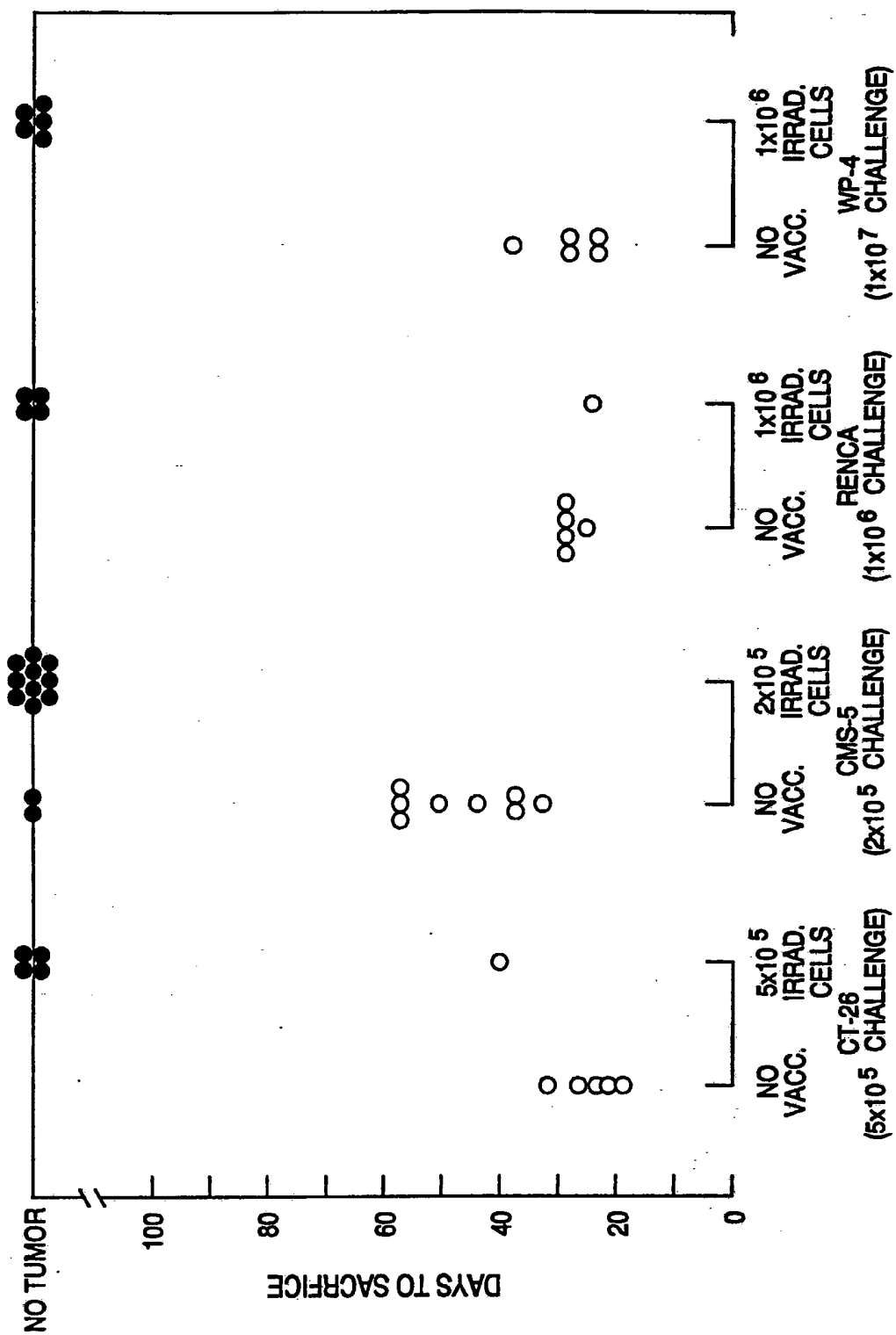
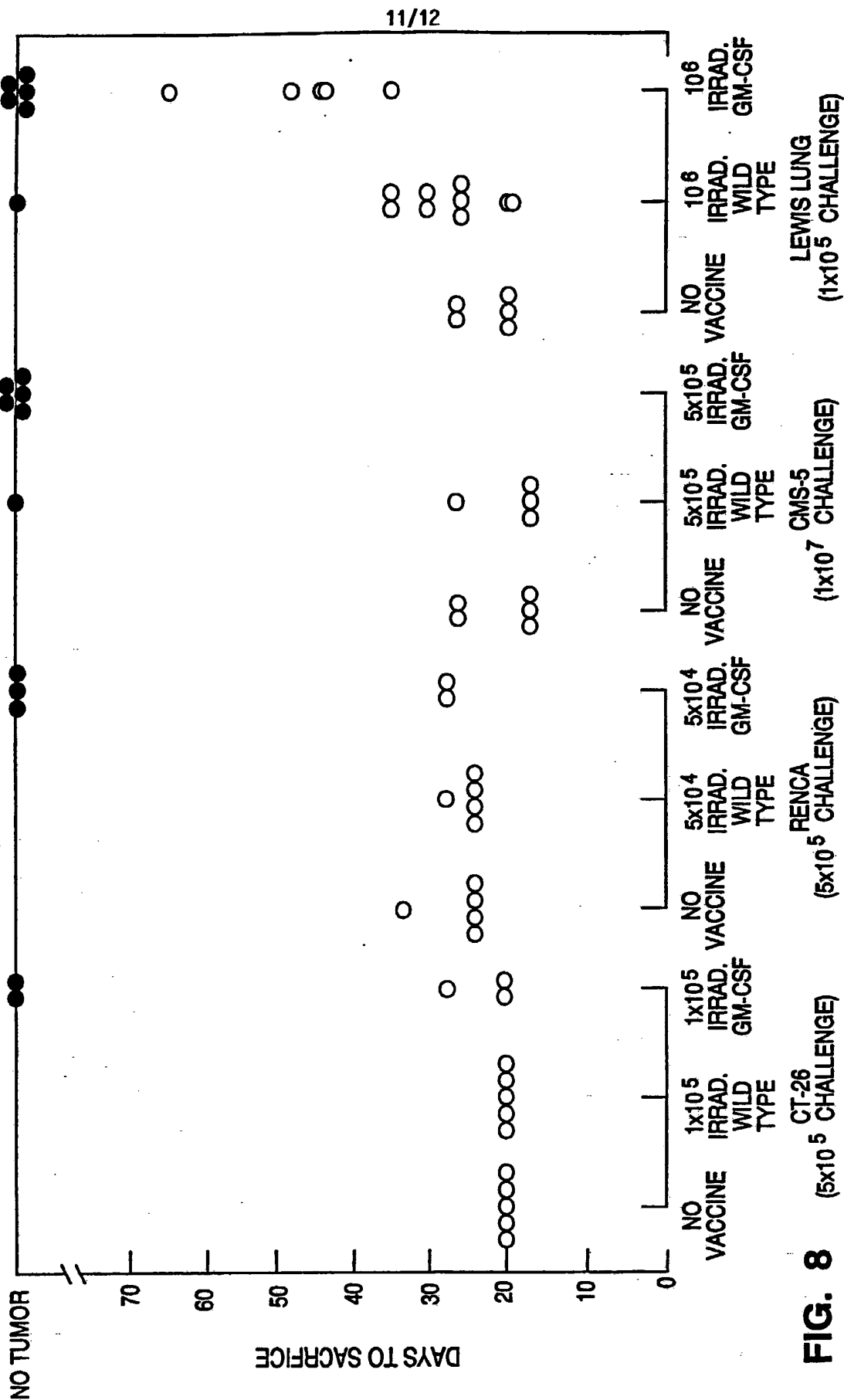
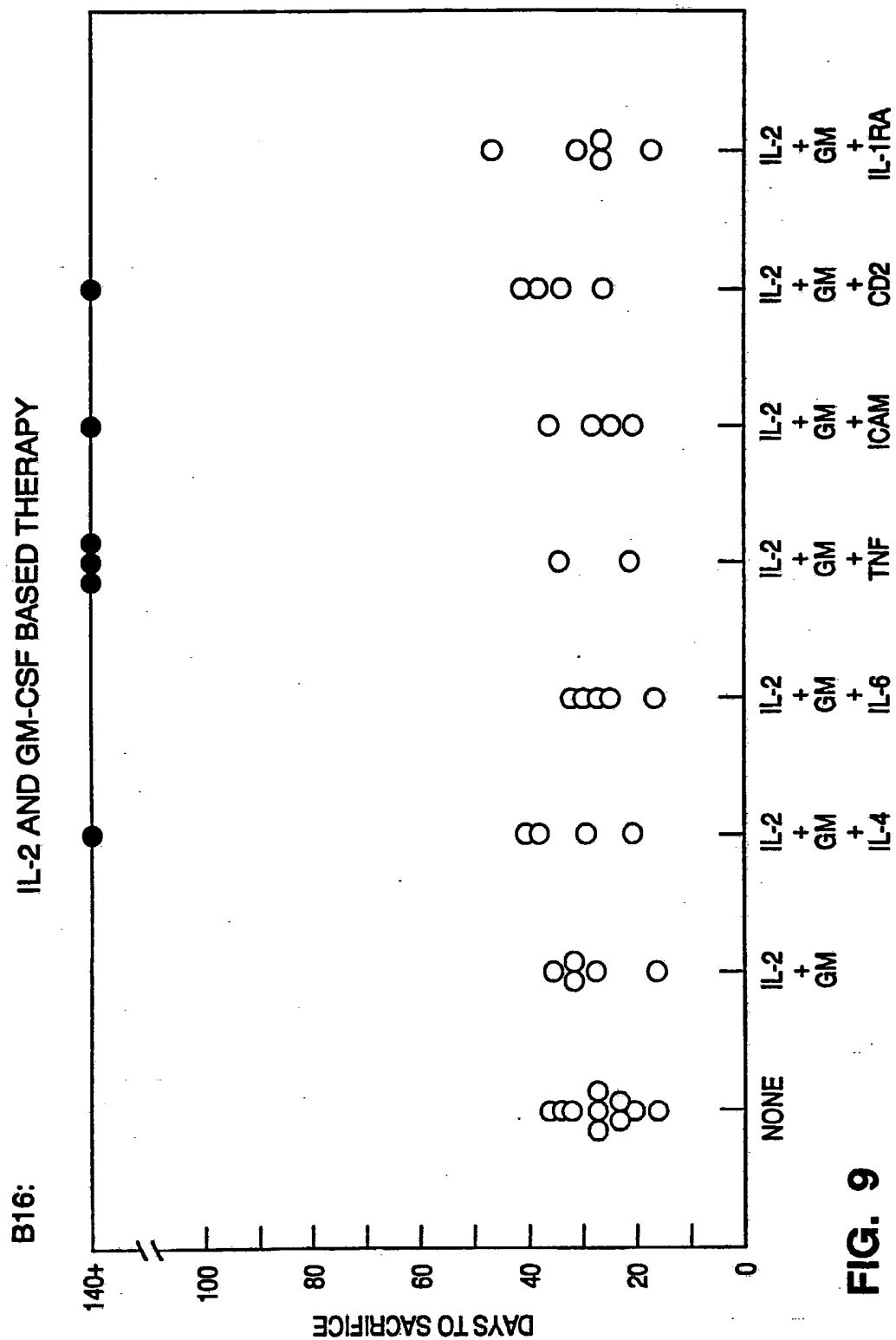


FIG. 7





## INTERNATIONAL SEARCH REPORT

PCT/US 92/08455

International Application No

<b>I. CLASSIFICATION OF SUBJECT MATTER</b> (If several classification symbols apply, indicate all) <sup>6</sup>		
According to International Patent Classification (IPC) or to both National Classification and IPC		
Int.Cl. 5 A61K48/00; A61K39/00; C12N5/10; //C12N15/19, C12N15/12, C12N15/30, C12N15/48, C12N15/86		
<b>II. FIELDS SEARCHED</b>		
Minimum Documentation Searched <sup>7</sup>		
Classification System	Classification Symbols	
Int.Cl. 5	A61K ; C12N	
Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched <sup>8</sup>		
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT<sup>9</sup></b>		
Category <sup>10</sup>	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>
X	DE,A,3 922 444 (DEUTSCHES KREBSFORSCHUNGSZENTRUM STIFTUNG DES ÖFFENTLICHEN RECHTS) 10 January 1991 see page 2, line 16 - line 44; claims 1-6; example 2	1,2,10
X	IMMUNOLOGY TODAY vol. 11, no. 6, June 1990, CAMBRIDGE GB pages 196 - 200 S.J. RUSSELL 'LYMPHOKINE GENE THERAPY FOR CANCER.'	3-9, 11-15
Y	see page 196, right column, line 51 - page 197, left column, line 28 see page 199, left column, line 62 - line 65	16-19
----- -/-		
<p><sup>9</sup> Special categories of cited documents : <sup>10</sup></p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"A" document member of the same patent family</p>		
<b>IV. CERTIFICATION</b>		
Date of the Actual Completion of the International Search		Date of Mailing of this International Search Report
21 JANUARY 1993		12. 02. 93
International Searching Authority		Signature of Authorized Officer
EUROPEAN PATENT OFFICE		RYCKEBOSCH A.O.



III. DOCUMENTS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHEET)		
Category *	Citation of Document, with indication, where appropriate, of the relevant passages	Relevant to Claims No.
P,X	WO,A,9 205 262 (THE JOHN HOPKINS UNIVERSITY ET AL.) 2 April 1992	3-9, 11-15
P,Y	see page 3, line 32 - page 4, line 30; claims 32-38,43-54; example 1 see page 5, line 15 - page 6, line 12	16-19
P,Y	WO,A,9 207 573 (SOMATIX THERAPY CORPORATION ET AL.) 14 May 1992 cited in the application see page 20, line 26 - page 23, line 29; claims 1-9 see page 32, line 11 - page 33, line 4	16-19
A	JOURNAL OF IMMUNOLOGY. vol. 146, no. 9, 1 May 1991, BALTIMORE US pages 3227 - 3234 A.L. ASHER ET AL. 'MURINE TUMOR CELLS TRANSDUCE WITH THE GENE FOR TUMOR NECROSIS FACTOR-ALPHA. EVIDENCE FOR PARACRINE IMMUNE EFFECTS OF TUMOR NECROSIS FACTOR AGAINST TUMORS.' cited in the application see the whole document	1-19
A	CELL. vol. 60, no. 3, 9 February 1990, CAMBRIDGE, MA US pages 397 - 403 E.R. FEARON ET AL. 'INTERLEUKIN-2 PRODUCTION BY TUMOR CELLS BYPASSES T HELPER FUNCTION IN THE GENERATION OF AN ANTITUMOR RESPONSE.' cited in the application see the whole document	1-19
P,X	SCIENCE vol. 254, no. 5032, 1 November 1991, LANCASTER, PA US pages 713 - 716 P.T. GOLUMBEK ET AL. 'TREATMENT OF ESTABLISHED RENAL CANCER BY TUMOR CELLS ENGINEERED TO SECRETE INTERLEUKIN-4.' cited in the application see the whole document	3-9, 11-15

## INTERNATIONAL SEARCH REPORT

Int. application No.

PCT/US 92/08455

**Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)**

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:  
Remark: Although claims 1-15 are directed to a method of treatment of the human/animal body the search has been carried out and based on the alleged effects of the compound/composition.
2. ☐ Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

**Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)**

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

**ANNEX TO THE INTERNATIONAL SEARCH REPORT  
ON INTERNATIONAL PATENT APPLICATION NO.**

US 9208455  
SA 65622

This annex lists the patent family members relating to the patent documents cited in the above-mentioned international search report.  
The members are as contained in the European Patent Office EDP file on  
The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information. 21/01/93

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
DE-A-3922444	10-01-91	DE-A- 3806565	14-09-89
		AU-A- 3188989	22-09-89
		WO-A- 8907946	08-09-89
		EP-A- 0331102	06-09-89
		JP-T- 2504034	22-11-90
WO-A-9205262	02-04-92	AU-A- 8764391	15-04-92
WO-A-9207573	14-05-92	AU-A- 1266692	26-05-92
		AU-A- 9017591	26-05-92
		WO-A- 9207943	14-05-92